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PART I.—Orders and Notifications by the Governor of West Bengal, the High Court, Government Treasury, etc.

GOVERNMENT OF WEST BENGAL
DEPARTMENT OF POWER & NON-CONVENTIONAL ENERGY SOURCES
NOTIFICATION

No. 42-PO/O/C-1/5M-49/09(Part I).—3rd March, 2016—In exercise of the powers conferred by Section 15 of the Energy Conservation Act, 2001 (Central Act No. 52 of 2001), the Governor is pleased hereby to notify the following Energy Conservation Building (ECB) Codes for efficient use of energy and its conservation in buildings or building complexes, namely:—

1. (1) This Code may be called as West Bengal Energy Conservation Building Code, 2016
- (2) It shall come into force on the date of its publication in *the Official Gazette*.
- (3) The purpose of this code is to provide minimum requirements for the energy-efficient design and construction of buildings.
- (4) Definitions of all terms, abbreviations and acronyms used in this code are detailed in Appendix-A [§ 10].

2. Scope

The code is applicable to buildings or building complexes that have a connected load of 100kW or greater or a contract demand of 120 kVA or greater.

This code stands mandatory from this date of notification.

2.1 Applicable Building Systems

The provisions of this code apply to:

- (a) Building envelopes, except for unconditioned storage spaces or warehouses,
- (b) Mechanical systems and equipment, including heating, ventilating, and air conditioning,
- (c) Service hot water heating,
- (d) Interior and exterior lighting, and
- (e) Electrical power and motors.

2.2 Exemptions

The provisions of this code do not apply to:

- (a) Buildings that do not use either electricity or fossil fuel,
- (b) Equipment and portions of building systems that use energy primarily for manufacturing processes.

2.3 Safety, Health and Environmental Codes Take Precedence

Where this code is found to conflict with safety, health, or environmental codes, the safety, Health, or environmental codes shall take precedence.

2.4 Reference Standards

Energy Conservation Building Code, 2007, National Building Code 2005 is the reference document / standard for lighting levels, HVAC, comfort levels, natural ventilation, pump and motor efficiencies, transformer efficiencies and any other building materials and system performance criteria.

3. Administration and Enforcement

3.1 Compliance Requirements

3.1.1 Mandatory Requirements

Compliance with the requirements of this energy code shall be mandatory for all applicable buildings as and when it is notified in *the official gazette*.

3.1.2 New Buildings

New buildings shall comply with either the provisions of §4 through §8 of this code or the Energy Budget Method of Appendix-B [§11].

3.1.3 Additions to Existing Buildings

Where the addition to the existing building exceeds the connected load threshold of §2, additions shall comply with the provisions of §4 to §8. Compliance may be demonstrated in the following manner:

The addition, together with the entire existing building, shall comply with the requirements of this code that would apply to the entire building, as if it were a new building.

Exception to § 3.1.3: When space conditioning is provided by existing systems and equipments, the existing systems and equipments need not comply with this code. However, any new equipment installed must comply with specific requirements applicable to that equipment. Also however, the space conditioning system in the existing building be made compliant with this code within 5 years from the date of issue of completion certificate of the additional portion.

3.1.4 Alterations to Existing Buildings

Where the existing building exceeds the connected load threshold in §2, portions of a building and its systems that are being altered shall meet the provisions of §4 through §8. The specific requirements for alterations are described in the following subsections.

Exception to § 3.1.4: When the entire building complies with all of the provisions of §4 through §8 as if it were a new building.

3.1.4.1 Building Envelope

Alterations to the building envelope shall comply with the requirements of §4 for fenestration, insulation, and air leakage applicable to the portions of the buildings and its systems being altered.

Exception to § 3.1.4.1: The following alterations need not comply with these requirements provided such alterations do not increase the energy usage of the building:

- (a) Replacement of glass in an existing sash and frame, provided the U-factor and SHGC of the replacement glazing are equal to or lower than the existing glazing,
- (b) Modifications to roof/ceiling, wall, or floor cavities, which are insulated to full depth with insulation, and
- (c) Modifications to walls and floors without cavities and where no new cavities are created.

3.1.4.2 Heating, Ventilation and Air Conditioning

Alterations to building heating, ventilating, and air-conditioning equipment or systems shall comply with the requirements of §5 applicable to the portions of the building and its systems being altered. Any new equipment or control devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device.

3.1.4.3 Service Water Heating

Alterations to building service water heating equipment or systems shall comply with the requirements of § 6 applicable to the portions of the building and its systems being altered. Any new equipment or control devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device.

3.1.4.4 Lighting

Alterations to building lighting equipment or systems shall comply with the requirements of § 7 applicable to the portions of the building and its systems being altered. New lighting systems, including controls, installed in an existing building and any change of building area systems, including controls, installed in an existing building and any change of building area devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device.

Exception to § 3.1.4.4: Alterations that replace less than 50% of the luminaires in a space need not comply with these requirements provided such alterations do not increase the connected lighting load.

3.1.4.5 Electric Power and Motors

Alterations to building electric power systems and motor shall comply with the requirements of § 8 applicable to the portions of the building and its systems being altered. Any new equipment or control devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device.

3.1.4.6 Star labeling and minimum star rating

All equipments and materials of type and specifications coming under the purview of the star labeling program as notified by BEE shall have minimum star rating as notified by the State Government. Refer to Appendix H [§17] for further details.

3.2 Compliance Approaches

The building shall comply with the mandatory provisions (§ 4.2, § 5.2, § 6.2, § 7.2, and §8.2) and either (a) Prescriptive Method (§ 4.3, § 5.3 and § 7.3), or (b) Energy Budget Method (Appendix B [§11]).

Exception to § 3.2: The envelope trade-off option of § 4.4 may be used in place of the prescriptive criteria of § 4.3.

3.3 Administrative Requirements

Administrative requirements relating to permit requirements, enforcement, interpretations, claims of exemption, approved calculation methods, rights of appeal and other data to demonstrate compliance etc. are to be specified by a nodal ECBC Cell to be constituted for this purpose.

3.4 Compliance Documents

3.4.1 General

Plans and specifications shall show all pertinent data and features of the building, equipment, and systems in sufficient detail to permit the authority having jurisdiction to verify that the building complies with the requirements of this code. Details shall include, but are not limited to:

- (a) Building Envelope: insulation materials and their R-values; fenestration U-factors, solar heat gain coefficients (SHGC), visible light transmittance (if the trade-off procedure is used), and air leakage; overhangs and side fins, building envelope sealing details;
- (b) Heating, Ventilation, and Air Conditioning: system and equipment types, sizes, efficiencies, and controls; economizers; variable speed drives; piping insulation; duct sealing, insulation and location; requirement for balance report;
- (c) Service Hot Water and Pumping: solar water heating system.
- (d) Lighting: lighting schedule showing type, number, and wattage of lamps and ballasts; automatic lighting shutoff, occupancy sensors, and other lighting controls; lamp efficacy for exterior lamps;
- (e) Electrical Power: electric schedule showing transformer losses, motor efficiencies, and power factor correction devices; electric check metering and monitoring system.
- (f) All equipments and materials of type and specifications coming under the purview of the star labeling program as notified by BEE shall have minimum star rating as notified by the State Government. Refer to Appendix H [§17] for further details.

3.4.2 Supplemental Information

The authority having jurisdiction may require supplemental information necessary to verify compliance with this code, such as calculations, worksheets, compliance forms, manufacturer's literature, or other data.

4. Envelope

4.1 General

The building envelope shall comply with the mandatory provisions of § 4.2 and either the prescriptive criteria of § 4.3 or the trade-off option of § 4.4.

4.2 Mandatory Requirements

4.2.1 Fenestration

4.2.1.1 U- factors

U-factors shall be determined for the overall fenestration product (including the sash and frame) in accordance with ISO-15099, as specified in Appendix C [§12], by an accredited independent laboratory, and labeled and certified by the manufacturer or other responsible party. U-factors for sloped glazing and skylights shall be determined at a slope of 20 degrees above the horizontal. For unrated products, use the default table in Appendix C [§12]

4.2.1.2 Solar Heat Gain Coefficient (SHGC)

SHGC shall be determined for the overall fenestration product (including the sash and frame) in accordance with ISO-15099, as specified in Appendix C [§12] by an accredited independent laboratory, and labeled and certified by the manufacturer or other responsible party.

Exceptions to § 4.2.1.2:

- (a) Shading coefficient (SC) of the center glass alone multiplied by 0.86 is an acceptable alternate for compliance with the SHGC requirements for the overall fenestration area.
- (b) Solar heat gain coefficient (SHGC) of the glass alone is an acceptable alternate for compliance with the SHGC requirements for the overall fenestration product.

4.2.1.3 Air Leakage

Air leakage for glazed swinging entrance doors and revolving doors shall not exceed 5.0 l/s-m². Air leakage for other fenestration and doors shall not exceed 2.0 l/s-m².

4.2.2 Opaque Construction

U-factors shall be determined from the default tables in Appendix C [§12] or determined from data or procedures contained in the ASHRAE Fundamentals, 2005

4.2.3 Building Envelope Sealing

The following areas of the enclosed building envelope shall be sealed, caulked, gasketed, or weather-stripped to minimize air leakage:

- (a) Joints around fenestration and door frames,
- (b) Openings between walls and foundations and between walls and roof and wall panels,
- (c) Openings at penetrations of utility services through, roofs, walls, and floors
- (d) Site-built fenestration and doors,
- (e) Building assemblies used as ducts or plenums, and
- (f) All other openings in the building envelope.

4.3 Prescriptive Requirements

4.3.1 Roofs

Roofs shall comply with either the maximum assembly U-factor or the minimum insulation R-value in Table 4.1. R-value is for the insulation alone and does not include building materials or air films. The roof insulation shall not be located on a suspended ceiling with removable ceiling panels.

Table 4.1 Roof assembly U-factor and Insulation R-value Requirements*

Climate Zone	24 hour use buildings, Hospitals, Hotels, Call Centers etc.		Day time use buildings, other building types	
	Maximum U-factor of the overall assembly W/m ² - °C	Minimum R-value of insulation alone m ² -°C/W	Maximum U-factor of the overall assembly W/m ² - °C	Minimum R-value of insulation alone m ² -°C/W
Composite	U-0.261	R-3.5	U-0.409	R-2.1
Warm and Humid	U-0.261	R-3.5	U-0.409	R-2.1
Cold	U-0.261	R-3.5	U-0.409	R-2.1

4.3.1.1 Cool Roofs

Roofs with slopes less than 20 degrees shall have an initial solar reflectance of no less than 0.70 and an initial emittance no less than 0.75. Solar reflectance shall be determined in accordance with ASTM E903-96 and emittance shall be determined in accordance with ASTM E408-71 (RA 1996).

4.3.2 Opaque Walls

Opaque walls shall comply with either the maximum assembly U-factor or the minimum insulation R-value in Table 4.2. R-value is for the insulation alone and does not include building materials or air films.

Table 4.2. Opaque Wall Assembly U-factor and Insulation R-value Requirements

Climate Zone	24 hour use buildings, Hospitals, Hotels, Call Centers etc.		Day time use buildings, other building types	
	Maximum U-factor of the overall assembly W/m ² - °C	Minimum R-value of insulation alone m ² -°C/W	Maximum U-factor of the overall assembly W/m ² - °C	Minimum R-value of insulation alone m ² -°C/W
Composite	U-0.440	R-2.10	U-0.440	R-2.10
Warm and Humid	U-0.440	R-2.10	U-0.440	R-2.10
Cold	U-0.369	R-2.20	U-0.352	R-2.35

4.3.3 Vertical Fenestration

Vertical fenestration shall comply with the maximum area weighted U-factor and maximum area weighted SHGC requirements of Table 4.3. Vertical fenestration area is limited to a maximum of 60% of the gross wall area for the prescriptive requirement.

Table 4.3 Vertical Fenestration U-factor and SHGC Requirements (U-factor in W/m -°C)

Climate	Maximum U-factor	WWR ≤ 40%	40% < WWR ≤ 60%
		Maximum SHGC	Maximum SHGC
Composite	3.30	0.25	0.20
Warm and Humid	3.30	0.25	0.20
Cold	3.30	0.51	0.51

Exception to § 4.3.3: Overhangs and/or side fins may be applied in determining the SHGC for the proposed design. An adjusted SHGC, accounting for overhangs and/or sidefins, is calculated by multiplying the SHGC of the unshaded fenestration product times a multiplication (M) factor. If this exception is applied, a separate M Factor shall be determined for each orientation and unique shading condition by equation 13.1.2 and the overhang and side fin coefficients are available in Table 13.6. (Appendix D [§ 13]).

Table 4.4 SHGC “M” Factor Adjustments for Overhangs and Fins

		Overhang “M” Factors for 4 Projection Factors				Vertical Fin “M” Factors for 4 Projection Factors				Overhang+Fin “M” Factors for 4 Projection Factors			
Project Location	Orientation	0.25	0.50	0.75	1.00	0.25-	0.50	0.75	1.00	0.25	0.50	0.75	1.00
		-	-	-	+	0.49	-	-	+	-	-	-	+
North Latitude 15° or greater	N	0.88	0.80	0.76	0.73	0.74	0.67	0.58	0.52	0.64	0.51	0.39	0.31
	E/W	0.79	0.65	0.56	0.50	0.80	0.72	0.65	0.60	0.60	0.39	0.24	0.16
	S	0.79	0.64	0.52	0.43	0.79	0.69	0.60	0.56	0.60	0.33	0.10	0.02
Less than 15° North latitude	N	0.83	0.74	0.69	0.66	0.73	0.65	0.57	0.50	0.59	0.44	0.32	0.23
	E/W	0.80	0.67	0.59	0.53	0.80	0.72	0.63	0.58	0.61	0.41	0.26	0.16
	S	0.78	0.62	0.55	0.50	0.74	0.65	0.57	0.50	0.53	0.30	0.12	0.04

Exception to SHGC Requirements in § 4.3.3: Vertical Fenestration areas located more than 2.2 m (7 ft) above the level of the floor are exempt from the SHGC requirement in Table 4.3, if the following conditions are complied with:

- (a) Total Effective Aperture: The total Effective Aperture for the elevation is less than 0.25, including all fenestration areas greater than 1.0 m (3 ft) above the floor level; and,
- (b) An interior light shelf is provided at the bottom of this fenestration area, with an interior projection factor not less than:
 - i) 1.0 for E-W, SE, SW, NE, and NW orientations
 - ii) 0.5 for S orientation, and
 - iii) 0.35 for N orientation when latitude is < 23 degrees.

4.3.3.1 Minimum Visible Transmission (VLT) of Glazing for Vertical Fenestration

Vertical fenestration product shall have the minimum Visual Light Transmittance (VLT), defined as function of Window Wall Ratio (WWR), where Effective Aperture > 0.1, equal to or greater than the Minimum VLT requirements of Table 4.5.

Table 4.5. Minimum VLT Requirements

Window Wall Ratio	Minimum VLT
0 - 0.3	0.27
0.31-0.4	0.20
0.41-0.5	0.16
0.51-0.6	0.13

4.3.4 Skylights

Skylights shall comply with the maximum U-factor and maximum SHGC requirements of Table 4.6. Skylight area is limited to a minimum of 5% of the gross roof area for the prescriptive requirement.

Table 4.6. Skylight U-factor and SHGC Requirements (U-factor in $W/m^2 \cdot ^\circ C$)

Climate	Maximum U-factor		Maximum SHGC	
	With Curb	w/o Curb	0-2% SRR	2.1-5% SRR
Composite	11.24	7.71	0.40	0.25
Warm and Humid	11.24	7.71	0.40	0.25
Cold	11.24	7.71	0.61	0.4

SRR = Skylight roof ratio which is the ratio of the total skylight area of the roof, measured to the outside of the frame, to the gross exterior roof

See § 12.2.2 for typical complying skylight constructions.

4.4 Building Envelope Trade-Off Option

The building envelope complies with the code if the building envelope performance factor (EPF) of the proposed design is less than the standard design, where the standard design exactly complies with the criteria in § 4.3. The envelope trade-off equation is found in Appendix D [§13].

5. Heating, Ventilation and Air Conditioning

5.1 General

All heating, ventilation and air conditioning equipment and systems shall comply with the mandatory provisions of § 5.2 and the prescriptive criteria of § 5.3.

5.2 Mandatory Requirements

5.2.1 Natural Ventilation

Natural ventilation shall comply with the design guidelines provided for natural ventilation in the National Building Code of India 2005 Part 8, Section I, 5.4.3 and 5.7.1.

5.2.2 Minimum Equipment Efficiencies

Cooling equipment shall meet or exceed the minimum efficiency requirements presented in Table 5.1. Heating and cooling equipment not listed here shall comply with ASHRAE 90.1-2004 § 6.4.1.

Unitary Air Conditioner shall meet IS 1391 (Part 1), Split air conditioner shall meet IS 1391 (Part 2), Packaged air conditioner shall meet IS 8148 and Boilers shall meet IS 13980 with above 75% thermal efficiency.

Table 5.1 Chillers

Equipment Class	Minimum COP	Minimum IPLV	Test Standard
Air Cooled Chiller < 530 kW (< 150 tons)	2.90	3.16	ARI 550/590-1998
Air Cooled Chiller ≥ 530 kW (≥ 150 tons)	3.05	3.32	ARI 550/590-1998
Centrifugal Water Cooled Chiller < 530 kW (< 150 tons)	5.80	6.09	ARI 550/590-1998
Centrifugal Water Cooled Chiller ≥ 530 and < 1050 kW (≥ 150 and < 300 tons)	5.80	6.17	ARI 550/590-1998
Centrifugal Water Cooled Chiller ≥ 1050 kW (≥ 300 tons)	6.30	6.61	ARI 550/590-1998
Reciprocating Compressor, Water Cooled Chiller all sizes	4.20	5.05	ARI 550/590-1998
Rotary Screw and Scroll Compressor, Water Cooled Chiller < 530 kW (< 150 tons)	4.70	5.49	ARI 550/590-1998
Rotary Screw and Scroll Compressor, Water Cooled Chiller ≥ 530 and < 1050 kW (≥ 150 and < 300 tons)	5.40	6.17	ARI 550/590-1998
Rotary Screw and Scroll Compressor, Water Cooled Chiller ≥ 1050 kW (≥ 300 tons)	5.75	6.43	ARI 550/590-1998

All equipments and materials of type and specifications coming under the purview of the star labeling program as notified by BEE shall have minimum star rating as notified by the State Government. Refer to Appendix H [§17] for further details.

5.2.3 Controls

5.2.3.1 All mechanical cooling and heating systems shall be controlled by a Time clock that:

- Can start and stop the system under different schedules for three different day-types per week,
- Is capable of retaining programming and time setting during loss of power for a period of at least 10 hours, and
- Includes an accessible manual override that allows temporary operation of the system for up to 2 hours.

Exceptions to 5.2.3.1:

- Cooling systems < 28 kW (8 tons)
- Heating systems < 7 kW (2 tons)

5.2.3.2 All heating and cooling equipment shall be temperature controlled. Where a unit provides both heating and cooling, controls shall be capable of providing a temperature dead band of 3°C (5°F) within which the supply of heating and cooling energy to the zone is shut off or reduced to a minimum. Where separate heating and cooling equipment serve the same temperature zone, thermostats shall be interlocked to prevent simultaneous heating and cooling.

5.2.3.3. All cooling towers and closed circuit fluid coolers shall have either two speed motors, pony motors, or variable speed drives controlling the fans. All cooling towers shall have indicators for measuring the inlet and outlet temperature.

5.2.3.4. Outside air control during Non-use: Outdoor air intake and exhaust systems shall be provided with motorized gravity dampers or other means of automatic volume shutoff or reduction during periods of non-use or alternative use of the spaces served by the system.

Exceptions to § 5.2.3.4. :

- (a) System serving areas that are expected to operate continuously.
- (b) System that has a design air flow of 500 L/s or less.
- (c) Gravity and other non-electrical ventilation systems may be controlled by readily accessible manual damper controls.
- (d) Where restricted by process equipments such as combustion-air intakes.

5.2.4 Piping and Ductwork

5.2.4.1 Piping for heating systems with a design operating temperature of 60°C (140°F) or greater shall have at least R-0.70 (R-4) insulation. Piping for heating systems with a design operating temperature less than 60°C (140°F) but greater than 40°C (104°F), piping for cooling systems with a design operating temperature less than 15°C (59°F), and refrigerant suction piping on split systems shall have at least R-0.35 (R-2) insulation. Insulation exposed to weather shall be protected by aluminum sheet metal, painted canvas, or plastic cover. Cellular foam insulation shall be protected as above, or be painted with water retardant paint.

5.2.4.2. The piping system connecting the outdoor and indoor units shall be insulated as per § 5.2.4.1 and the length of such pipes shall not exceed the length as specified by the manufacturers.

Table 5.2. Ductwork Insulation (m² -°C/W)

Duct Location	Required Insulation ^a	
	Supply Ducts	Return Ducts
Exterior	R-1.4	R- 0.6
Ventilated Attic	R-1.4	R- 0.6
Unventilated Attic without Roof Insulation	R-1.4	R- 0.6
Unventilated Attic with Roof Insulation	R- 0.6	No Requirement
Unconditioned Space ^b	R- 0.6	No Requirement
Indirectly Conditioned Space ^c	No Requirement	No Requirement
Buried	R- 0.6	No Requirement

^a Insulation R-value is measured on a horizontal plane in accordance with ASTM C518 at a mean temperature of 24°C (75°F) at the installed thickness

^b Includes crawlspaces, both ventilated and non-ventilated

^c Includes return air plenums with or without exposed roofs above.

5.2.5 System Balancing**5.2.5.1 General**

Construction documents shall require that all HVAC systems be balanced in accordance with generally accepted engineering standards.

Construction documents shall require that a written balance report be provided to the owner or the designated representative of the building owner for HVAC systems serving zones with a total conditioned area exceeding 500 m² (5,000 ft²).

5.2.5.1.1 Air System Balancing

Air systems shall be balanced in a manner to first minimize throttling losses. Then, for fans with fan system power greater than 0.75 kW (1.0 hp), fan speed shall be adjusted to meet design flow conditions.

5.2.5.1.2 Hydronic System Balancing

Hydronic systems shall be proportionately balanced in a manner to first minimize throttling losses; then the pump impeller shall be trimmed or pump speed shall be adjusted to meet design flow conditions.

Exceptions to § 5.2.5.1.2:

- (a) Impellers need not be trimmed nor pump speed adjusted for pumps with pump motors of 7.5 kW (10 hp) or less,
- (b) Impellers need not be trimmed when throttling results in no greater than 5% of the nameplate horsepower draw, or 2.2 kW (3 hp), whichever is greater.

5.2.6 Condensers**5.2.6.1. Condenser Locations.**

Care shall be exercised in locating the Condensers in such a manner that heat sink is free of interference from heat discharge by devices located in adjoining spaces and also does not interfere with such other systems installed nearby. The distance of Condenser outdoor unit from indoor unit should be as per system design specification. The condenser shall be provided with shed to prevent the direct heating of the unit by the sunlight.

5.2.6.2 Treated Water for Condensers

All high-rise buildings using centralized cooling water system shall use soft water for the condenser and chilled water system.

5.3 Prescriptive Requirements

Compliance shall be demonstrated with the requirements in § 5.3.1 through § 5.3.2 for each HVAC system that meets the following criteria:

- (a) Serves a single zone,
- (b) Cooling (if any) is provided by a unitary packaged or split-system air conditioner or heat pump,
- (c) Heating (if any) is provided by a unitary packaged or split-system heat pump, fuel-fired furnace, electric resistance heater, or baseboards connected to a boiler, and
- (d) Outside air quantity is less than 1,400 l/s (3000 cfm) and less than 70% of supply air at design conditions.

Other HVAC systems shall comply with ASHRAE 90.1-2004, § 6.5

5.3.1 Economizers**5.3.1.1 Air Side Economizer**

Each individual cooling fan system that has a design supply capacity over 1,200 l/s (2,500cfm) and a total mechanical cooling capacity over 22 kW (6.3 tons) shall include either:

- (a) An air economizer capable of modulating outside-air and return-air dampers to supply 100 percent of the design supply air quantity as outside-air; or
- (b) A water economizer capable of providing 100% of the expected system cooling load at outside air temperatures of 10°C (50°F) dry-bulb/7.2°C (45°F) wet-bulb and below.

Exception to § 5.3.1.1:

- (a) Projects in the Hot-Dry and Warm-Humid climate zones are exempt.
- (b) Individual ceiling mounted fan systems < 3,200 l/s (6,500 cfm) are exempt.

5.3.1.2 Where required by 5.3.1.1 economizers shall be capable of providing partial cooling even when additional mechanical cooling is required to meet the cooling load.

5.3.1.3 Air-side economizers shall be tested in the field following the requirements in Appendix F [§15] to ensure proper operation.

Exception to 5.3.1.3: Air economizers installed by the HVAC system equipment manufacturer and certified to the building department as being factory calibrated and tested per the procedures in Appendix F [§15].

5.3.2 Variable Flow Hydronic Systems

5.3.2.1 Chilled or hot-water systems shall be designed for variable fluid flow and shall be capable of reducing pump flow rates to no more than the larger of:

- (a) 50% of the design flow rate, or
- (b) the minimum flow required by the equipment manufacturer for proper operation of the chillers or boilers.

5.3.2.2 Water cooled air-conditioning or heat pump units with a circulation pump motor greater than or equal to 3.7 kW (5 hp) shall have two-way automatic isolation valves on each water cooled air-conditioning or heat pump unit that are interlocked with the compressor to shut off condenser water flow when the compressor is not operating.

5.3.2.3 Chilled water or condenser water systems that must comply with either 5.3.2.1 or 5.3.2.2 and that have pump motors greater than or equal to 3.7 kW (5 hp) shall be controlled by variable speed drives.

5.3.3. HVAC Fan System Design Criteria.

The following design criteria shall apply to all HVAC fan system used for comfort ventilation and/or air conditioning. For this purpose, the energy demand of a fan system is the sum of demand of all fans that are required to operate at design conditions to supply air from cooling source to the conditioned space(s) and return it back to the source or exhaust it to the outdoors.

Exceptions: Systems with total fan system motor power of 4 kW or less.

5.3.3.1. Constant Volume Fan Systems.

For fan systems that provide a constant air volume whenever the fans are operating, there shall be at least 500 L/S of supply air volume per kW of total input power required by the motors for the combined fan system at design conditions.

5.3.3.2. Variable Air Volume (VAV) Fan Systems.

For fan systems that are able to vary system air volume automatically as a function of load, there shall be at least 420L/S of supply air volume per kW of total input power required by the motors for the combined fan system at design conditions.

6. Service Hot Water and Pumping

6.1 General

All service water heating equipment and systems shall comply with the mandatory provisions of § 6.2

6.2 Mandatory Requirements

6.2.1 Solar Water Heating

Residential facilities, hotels and hospitals with a centralized system shall have solar water heating for at least 1/5 of the design capacity.

Exception to § 6.2.1: Systems that use heat recovery for at least 1/5 of the design capacity.

6.2.2 Equipment Efficiency

Service water heating equipment shall meet or exceed the performance and minimum efficiency requirements presented in available Indian Standards.

- (a) Solar water heater shall meet the performance/ minimum efficiency level mentioned in IS 13129 Part (1&2)
- (b) Gas Instantaneous Water heaters shall meet the performance/minimum efficiency level mentioned in IS 15558 with above 80% thermal efficiency.
- (c) Electric water heater shall meet the performance / minimum efficiency level mentioned in IS 2082.

6.2.3. Supplementary Water Heating System

Supplementary heating system shall be designed to maximize the energy efficiency of the system and shall incorporate the following design features in cascade:

- (a) Maximum heat recovery from hot discharge system like condensers of air conditioning units,
- (b) Use of gas fired heaters wherever gas is available, and
- (c) Electric heater as last resort.

6.2.4 Piping Insulation

Piping insulation shall comply with § 5.2.4.1. The entire hot water system including the storage tanks, pipelines shall be insulated conforming to the relevant IS standards on materials and applications.

6.2.5 Heat Traps

Vertical pipe risers serving storage water heaters and storage tanks not having integral heat traps and serving a non-recirculating system shall have heat traps on both the inlet and outlet piping as close as practical to the storage tank.

6.2.6 Swimming Pools

Heated pools shall be provided with a vapor retardant pool cover on or at the water surface. Pools heated to more than 32°C (90° F) shall have a pool cover with a minimum insulation value of R-2.1 (R-12).

Exception to § 6.2.6:

Pools deriving over 60% of their energy from site-recovered energy or solar energy source.

6.2.7 Compliance Documentation

The application for approval shall furnish detailed calculation showing the design to ensure that at least 20% of the heating requirement shall be met from solar heat/heat recovery and not more than 80% of the heat shall be met from electrical heating. Wherever gas is available, not more than 20% of the heat shall be met from electrical heating.

7. Lighting**7.1 General**

Lighting systems and equipment shall comply with the mandatory provisions of § 7.2 and the prescriptive criteria of § 7.3 and § 7.3.4. The lighting requirements in this section shall apply to:

- (a) Interior spaces of buildings,
- (b) Exterior building features, including facades, illuminated roofs, architectural features, entrances, exits, loading docks, and illuminated canopies, and,
- (c) Exterior building grounds lighting that is provided through the building's electrical service.

Exceptions to § 7.1 :

- (a) Emergency lighting that is automatically off during normal building operation and is powered by battery, generator, or other alternate power source; and,
- (b) Lighting in dwelling units.

7.2 Mandatory Requirements**7.2.1 Lighting Control**

7.2.1.1 Automatic Lighting Shutoff

Interior lighting systems in buildings larger than 500 m² shall be equipped with an automatic control device. Within these buildings, all office areas less than 30 m² enclosed by walls or ceiling-height partitions, all meeting and conference rooms, all school classrooms, and all storage spaces shall be equipped with occupancy sensors. For other spaces, this automatic control device shall function on either

- (a) A scheduled basis at specific programmed times. An independent program schedule shall be provided for areas of no more than 2,500 m² and not more than one floor; or,
- (b) Occupancy sensors that shall turn the lighting off within 30 minutes of an occupant leaving the space. Light fixtures controlled by occupancy sensors shall have a wall-mounted, manual switch capable of turning off lights when the space is occupied.

Exception to § 7.2.1.1: Lighting systems designed for 24-hour use.

7.2.1.2 Space Control

Each space enclosed by ceiling-height partitions shall have at least one control device to independently control the general lighting within the space. Each control device shall be activated either manually by an occupant or automatically by sensing an occupant. Each control device shall

- (a) Control a maximum of 250 m² for a space less than or equal to 1,000 m², and a maximum of 1,000 m² for a space greater than 1,000 m².
- (b) Be capable of overriding the shutoff control required in 7.2.1.1 for no more than 2 hours, and
- (c) Be readily accessible and located so the occupant can see the control.

Exception to § 7.2.1.2(c): The required control device may be remotely installed if required for reasons of safety or security. A remotely located device shall have a pilot light indicator as part of or next to the control device and shall be clearly labeled to identify the controlled lighting.

7.2.1.3 Control in Daylighted Areas

Luminaires in daylighted areas greater than 25 m² shall be equipped with either a manual or automatic control device that:

- (a) Is capable of reducing the light output of the luminaires in the daylighted areas by at least 50%, and
- (b) Controls only the luminaires located entirely within the day lighted area.

7.2.1.4 Exterior Lighting Control

Lighting for all exterior applications not exempted in § 7.3.4 shall be controlled by a photosensor or astronomical time switch that is capable of automatically turning off the exterior lighting when daylight is available or the lighting is not required.

7.2.1.5 Additional Control

The following lighting applications shall be equipped with a control device to control such lighting independently of general lighting:

- (a) Display/Accent Lighting. Display or accent lighting greater than 300 m² (3,000 ft²) area shall have a separate control device.
- (b) Case Lighting. Lighting in cases used for display purposes greater than 300 m² (3,000ft²) area shall be equipped with a separate control device.
- (c) Hotel and Motel Guest Room Lighting. Hotel and motel guest rooms and guest suites shall have a master control device at the main room entry that controls all permanently installed luminaires and switched receptacles.
- (d) Task Lighting. Supplemental task lighting including permanently installed under shelf or under cabinet lighting shall have a control device integral to the luminaires or be controlled by a wall-mounted control device provided the control device complies with 7.2.1.2(c).
- (e) Non-visual Lighting. Lighting for non-visual applications, such as plant growth and food-warming, shall be equipped with a separate control device.

- (f) Demonstration Lighting. Lighting equipment that is for sale or for demonstrations in lighting education shall be equipped with a separate control device accessible only to authorized personnel.

7.2.2 Exit Signs

Internally-illuminated Exit Signs shall not exceed 5W per phase.

7.2.3 Exterior Building Grounds Lighting

Lighting for exterior building grounds luminaires which operate at greater than 100 W shall contain lamps having a minimum efficacy of 60 lm/W unless the luminaire is controlled by a motion sensor or exempt under § 7.1.

7.2.4. Control of outdoor lighting and advertisements.

The illumination level of Outdoor lighting for Garden/ decorative purpose shall be reduced by at least 50% or as notified from time to time by Government as specified under National Lighting Code, 2010 after 12 midnight using astronomical time switch and/or illumination control devices that is capable of automatically reducing the exterior lighting illumination level by the specified level without hampering the safety and security.

Suitable astronomical time switch shall be installed for all outdoor advertisement, bill boards and other illuminated displays using more than 500 watts so as to switch off these after 11.00 pm or as notified by the Government from time to time.

Exception to § 7.2.4. : Outdoor lights used during festival period, functions, ceremonies, or used during emergency shall be exempt from such control.

7.2.5. Use of daylight

At least 20% of the building illumination requirement during day time should be met using natural daylight.

Exception to § 7.2.5: Solar photovoltaic Cell or other renewable sources of energy can be used for the area where 20% natural daylight criteria is not fulfilled.

7.3 Perspective Requirements

7.3.1 Interior Lighting Power

The installed interior lighting power for a building or a separately metered or permitted portion of a building shall be calculated in accordance with § 7.3.4 and shall not exceed the interior lighting power allowance determined in accordance with either § 7.3.2 or § 7.3.3. Tradeoffs of interior lighting power allowance among portions of the building for which a different method of calculation has been used are not permitted.

Exception to § 7.3 : The following lighting equipment and applications shall not be considered when determining the interior lighting power allowance, nor shall the wattage for such lighting be included in the installed interior lighting power. However, any such lighting shall not be exempt unless it is an addition to general lighting and is controlled by an independent control device.

- (a) Display or accent lighting that is an essential element for the function performed in galleries, museums, and monuments,
- (b) Lighting that is integral to equipment or instrumentation and is installed by its manufacturer,
- (c) Lighting specifically designed for medical or dental procedures and lighting integral to medical equipment,
- (d) Lighting integral to food warming and food preparation equipment,
- (e) Lighting for plant growth or maintenance,
- (f) Lighting in spaces specifically designed for use by the visually impaired,
- (g) Lighting in retail display windows, provided the display area is enclosed by ceiling-height partitions,
- (h) Lighting in interior spaces that have been specifically designated as a registered interior historic landmark,
- (i) Lighting that is an integral part of advertising or directional signage,
- (j) Exit signs,
- (k) Lighting that is for sale or lighting educational demonstration systems,
- (l) Lighting for theatrical purposes, including performance, stage, and film or video production, and
- (m) Athletic playing areas with permanent facilities for television broadcasting.

7.3.2 Building Area Method

Determination of interior lighting power allowance (watts) by the building area method shall be in accordance with the following:

- (a) Determine the allowed lighting power density from Table 7.1 for each appropriate building area type.
- (b) Calculate the gross lighted floor area for each building area type.
- (c) The interior lighting power allowance is the sum of the products of the gross lighted floor area of each building area times the allowed lighting power density for that building area types.

Table 7.1. Interior Lighting Power - Building Area Method

Building Area Type	LPD W/m ²	Building Area Type	LPD W/m ²
Automotive Facility	9.7	Multifamily Residential	7.5
Convention Center	12.9	Museum	11.8
Dining: Bar Lounge/Leisure	14.0	Office	10.8
Dining: Cafeteria/Fast Food	15.1	Parking Garage	3.2
Dining: Family	17.2	Performing Arts Theater	17.2
Dormitory/Hostel	10.8	Police/Fire Station	10.8
Gymnasium	11.8	Post Office/Town Hall/	11.8
Healthcare-Clinic	10.8	Religious Building	14.0
Hospital/Health Care	12.9	Retail/Mall	16.1
Hotel	10.8	School/University	12.9
Library	14.0	Sports Arena	11.8
Manufacturing Facility	14.0	Transportation	10.8
Motel	10.8	Warehouse	8.6
Motion Picture Theater	12.9	Workshop	15.1

In cases where both a general building area type and a specific building area type are listed, the specific building area type shall apply.

7.3.3 Space Function Method :

Determination of interior lighting power allowance (watts) by the space function method shall be in accordance with the following:

- (a) Determine the appropriate building type from Table 7.2 and the allowed lighting power density.
- (b) For each space enclosed by partitions 80% or greater than ceiling height, determine the gross interior floor area by measuring to the center of the partition wall. Include the floor area of balconies or other projections. Retail spaces do not have to comply with the 80% partition height requirements.
- (c) The interior lighting power allowance is the sum of the lighting power allowances for all spaces. The lighting power allowance for a space is the product of the gross lighted floor area of the space times the

allowed lighting power density for that space.

Table 7.2. Interior Lighting Power – Space Function Method

Space Function	LPD W/m ²	Space Function	LPD W/m ²
Office-enclosed	11.8	Hospital	
Office-open plan	11.8	• Emergency	29.1
Conference /Meeting / Multipurpose	14.0	• Recovery	8.6
Classroom /Lecture/ Training	15.1	• Nurse Station	10.8
Lobby*	14.0	• Exam Treatment	16.1
• For Hotel	11.8	• Pharmacy	12.9
• For Performing Arts Theater	35.5	• Patient Room	7.5
• For Motion Picture Theater	11.8	• Operating Room	23.7
Audience/Seating Area*	9.7	• Nursery	6.5
• For Gymnasium	4.3	• Medical Supply	15.1
• For Convention Center	7.5	• Physical Therapy	9.7
• For Religious Buildings	18.3	• Radiology	4.3
• For Sports Arena	4.3	• Laundry – Washing	6.5
• For Performing Arts Theater	28.0	Automotive – Service Repair	7.5
• For Motion Picture Theater	12.9	Manufacturing Facility	
• For Transportation	5.4	For Low Bay (<8m ceiling)	12.9
Atrium-first three floors	6.5	• For High Bay (>8m ceiling)	18.3
Atrium-each additional floor	2.2	• For Detailed Manufacturing	22.6
Lounge/Recreation*	12.9	• For Equipment Room	12.9
• For Hospital	8.6	• For Control Room	5.4
Dining Area*	9.7		
• For Hotel	14.0	Hotel/Motel Guest Rooms	11.8
• For Motel	12.9	Dormitory – Living Quarters	11.8
• For Bar Lounge/ Leisure Dining	15.1	Museum	
• For Family Dining	22.6	• For General Exhibition	10.8
• Food Preparation	12.9	• For Restoration	18.3
Laboratory	15.1	Bank Office – Banking Activity Area	16.1
Restrooms	9.7	Retail	
Dressing/Locker/Fitting Room	6.5	• For Sales Area	18.3
Corridor/Transition*	5.4	• For Mall Concourse	18.3
• For Hospital	10.8	Sports Arena	
• For Manufacturing Facility	5.4	• For Ring Sports Area	29.1
Stairs-active	6.5	• For Court Sports Area	24.8
Active Storage*	8.6	• For Indoor Field Area	15.1
• For Hospital	9.7	Warehouse	
Inactive Storage*	3.2	• For Fine Material Storage	15.1
• For Museum	8.6	• For Medium/Bulky Material Storage	9.7
Electrical/Mechanical Facility	16.1	Parking Garage – Garage Area	2.2
Workshop	20.5	Transportation	
Convention Center – Exhibit Space	14.0	• For Airport – Concourse	6.5
Library		• For Air/Train/Bus – Baggage Area	10.8
• For Card File & Cataloging	11.8	• For Ticket Counter Terminal	16.1
• For Stacks	18.3		
• For Reading Area	12.9		

* for all facilities except the following

7.3.4 Installed Interior Lighting Power

The installed interior lighting power calculated for compliance with § 7.3 shall include all power used by the luminaires, including lamps, ballasts, current regulators, and control devices except as specifically exempted in § 7.1.

Exception to § 7.3.4: If two or more independently operating lighting systems in a space are controlled to prevent simultaneous user operation, the installed interior lighting power shall be based solely on the lighting system with the highest power.

7.3.4.1 Luminaire Wattage

Luminaire wattage incorporated into the installed interior lighting power shall be determined in accordance with the following:

- (a) The wattage of incandescent luminaires with medium base sockets and not containing permanently installed ballasts shall be the maximum labeled wattage of the luminaires.
- (b) The wattage of luminaires containing permanently installed ballasts shall be the operating input wattage of the specified lamp/ballast combination based on values from manufacturers' catalogs or values from independent testing laboratory reports.
- (c) The wattage of all other miscellaneous luminaire types not described in (a) or (b) shall be the specified wattage of the luminaires.
- (d) The wattage of lighting track, plug-in busway, and flexible-lighting systems that allow the addition and/or relocation of luminaires without altering the wiring of the system shall be the larger of the specified wattage of the luminaires included in the system or 135 W/m (45 W/ft). Systems with integral overload protection, such as fuses or circuit breakers, shall be rated at 100% of the maximum rated load of the limiting device.

7.3.5 Exterior Lighting Power

For building exterior lighting applications specified in Table 7.3., the connected lighting power shall not exceed the specified lighting power limits specified for each of these applications. Trade-offs between applications are not permitted. Exterior lighting for all other applications (except those included in the Exceptions to § 7.3.4) shall comply with the requirements of § 7.2.3.

Table 7.3. Exterior Building Lighting Power

Exterior Lighting Applications	Power Limits
Building entrance (with canopy)	13 W/m (1.3 W/ft) of canopied area
Building entrance (without canopy)	90 W/lin m (30 W/lin f) of door width
Building exit	60 W/lin m (20 W/lin f) of door width
Building facades	2 W/m (0.2 W/ft) of vertical facade area

Exceptions to § 7.3.5: Lighting used for the following exterior applications is exempt when equipped with an independent control device:

- (a) Specialized signal, directional, and marker lighting associated with transportation;
- (b) Lighting used to highlight features of public monuments and registered historic landmark structures or buildings;
- (c) Lighting that is integral to advertising signage;
- (d) Lighting that is specifically designated as required by a health or life safety statute, ordinance, or regulation.

7.3.6. Lighting Type and Efficiency.

All fluorescent tube light should be minimum BEE 3 (three) star rated as specified in § 3.1.4.6. No incandescent bulb shall be used for ordinary lighting applications. A list of all types of lights proposed to be used along with their design efficiency in lumen/watt, CRI value shall be furnished in the lighting summary report § 16.5. Justification for use of lights with lumen/watt below 50 needs to be furnished.

Exceptions to § 7.3.6. : Lighting equipments and application as specified under §7.3.1(a) – (m)

8. Electrical Power**8.1 General**

Electric equipment and systems shall comply with the mandatory requirements of § 8.2.

8.2 Mandatory Requirements**8.2.1 Transformers****8.2.1.1 Maximum Allowable Power Transformer Losses**

Power transformers of the proper ratings and design must be selected to satisfy the minimum acceptable efficiency at 50% and full load rating. In addition, the transformer must be selected such that it minimizes the total of its initial cost in addition to the present value of the cost of its total lost energy while serving its estimated loads during its respective life span.

Table 8.1. Dry type distribution transformers – total losses for dry type transformers should conform as per the draft standard of Indian Standard IS 2026 : Part 11 2007.

Rating kVA	Max. Losses at 50% loading kW*	Max. Losses at 100% loading kW*	Total Losses at 50% loading kW*	Total Losses at rated load kW*
Up to 11 kV class			33 kV class	
100	0.94	2.4	1.12	2.4
160	1.29	3.3	1.42	3.3
200	1.5	3.8	1.75	4
250	1.7	4.32	1.97	4.6
315	2	5.04	2.4	5.4
400	2.38	6.04	2.9	6.8
500	2.8	7.25	3.3	7.8
630	3.34	8.82	3.95	9.2
800	3.88	10.24	4.65	11.4
1000	4.5	12	5.3	12.8
1250	5.19	13.87	6.25	14.5
1600	6.32	16.8	7.5	18
2000	7.5	20	8.88	21.4
2500	9.25	24.75	10.75	26.5

Table 8.2. Oil Filled Transformers – Total losses for oil filled transformers should conform as per the following table as specified in Central Electricity Authority Norms.

Rating KVA	Max. Losses at 50% loading kW*	Max. Losses at 100% loading kW*	Total Losses at 50% loading kW*	Total Losses at rated load kW*
Up to 11 kV class			33 kV class	
100	520	1800	560	1820
160	770	2200	780	2580
200	890	2700	900	3000
250	1050	3320	--	--
315	1100	3630	1300	4300
400	1450	4630	1520	5100
500	1600	5500	1950	6450
630	2000	6640	2300	7600
1000	3000	9800	3450	11350
1250	3600	12000	4000	13250
1600	4500	15000	4850	16000
2000	5400	18400	5700	18500
2500	6500	22500	7050	23000

For Tables 8.1, 8.2.: * Total loss values given in above table are applicable for thermal classes E, B & F and have component of load loss at reference temperature according to clause 17 of IS 2026 : Part 11. i.e., average winding temperature rise as given in column 2 of Table 8.1 and Table 8.2. plus 30°C. An increase of 7% on total for thermal class H is allowed.

8.2.1.2 Measurement and Reporting of Transformer Losses

All measurement of losses shall be carried out by using calibrated digital meters of class 0.5 or better accuracy and certified by the manufacturer. All transformers of capacity of 500 kVA and above would be equipped with additional metering class current transformers (CTs) and potential transformers (PTs) additional to requirements of Utilities so that periodic loss monitoring study may be carried out.

8.2.2 Energy Efficient Motors

Motors shall comply with the following:

- All permanently wired poly phase motors of 0.375 kW or more serving the building and expected to operate more than 1,500 hours per year and all permanently wired poly phase motors of 50kW or more serving the building and expected to operate more than 500 hours per year shall have a minimum acceptable nominal full load motor efficiency not less than IS 12615 for energy efficient motors.
- Motors of horsepower differing from those listed in the table shall have efficiency greater than that of the next listed kW motor.
- Motor horsepower ratings shall not exceed 20% of the calculated maximum load being served.
- Motor nameplates shall list the nominal full-load motor efficiencies and the full-load power factor.
- Motor users should insist on proper rewinding practices for any rewind motors. If the proper rewinding practices cannot be assured, the damaged motor should be replaced with a new, efficient one rather than suffer the significant efficiency penalty associated with typical rewind practices.

- (f) Certificates shall be obtained and kept on record indicating the motor efficiency. Whenever a motor is rewound, appropriate measures shall be taken so that the core characteristics of the motor is not lost due to thermal and mechanical stress during removal of damaged parts. After rewinding, a new efficiency test shall be performed and a similar record shall be maintained.
- (g) All equipments and materials of type and specifications coming under the purview of the star labeling program as notified by BEE shall have minimum 3 star rating as notified by the State Government. Refer to Appendix H [§17] for further details.

8.2.3 Power Factor Correction

All electricity supplies exceeding 100 A, 3 phase shall maintain their power factor between 0.95 lag and unity at the point of connection.

8.2.4 Check-Metering and Monitoring

- (a) Services exceeding 1000 KVA shall have permanently installed electrical metering to record demand (KVA), energy (kWh), and total power factor. The metering shall also display current (in each phase and the neutral), voltage (between phases and between each phase and neutral), and total harmonic distortion (THD) as a percentage of total current.
- (b) Services not exceeding 1000 kVA but over 65 kVA shall have permanently installed electric metering to record demand (kW), energy (kWh), and total power factor (or kVARh).
- (c) Services not exceeding 65 kVA shall have permanently installed electrical metering to record energy (kWh).

8.2.5 Power Distribution Systems

8.2.5.1 Power Distribution System Losses

The power cabling shall be adequately sized as to maintain the distribution losses not to exceed 1% of the total power usage. Record of design calculation for the losses shall be maintained.

9. Energy Auditing, Reporting and Star Rating.

9.1. Mandatory Requirements.

9.1.1. Auditing of Building.

It shall be mandatory to get the building energy audit conducted by a BEE accredited energy auditor or BEE empanelled ESCO once each three years or at such interval as notified by the SDA from time to time. The energy audit shall also be conducted after addition of utilities within the building premises which enhances or requires the contract demand to be increased by more than 50% or such value as notified by SDA from time to time. The energy audit details shall be reported to the SDA through e-mail or submitted online using the specified website in such a manner and interval as notified by the SDA from time to time.

9.1.2. Reporting.

The building information and energy data of each financial year as specified in Appendix I [§ 18] shall be reported to the SDA within two months of closing of the financial year through post or by e-mail or submitted online using the specified website in such a manner and interval as notified by the SDA from time to time.

10. Appendix A - Definitions, Abbreviations, and Acronyms

10.1 General

Certain terms, abbreviations, and acronyms are defined in this section for the purposes of this code. These definitions are applicable to all sections of this code. Terms that are not defined shall have their ordinarily accepted meanings within the context in which they are used. Webster's Third New International Dictionary of the English Language, Unabridged, copyright 1986, shall be considered as providing ordinarily accepted meanings.

10.2 Definitions

Addition: An extension or increase in floor area or height of a building outside of the existing building envelope.

Alteration: Any change, rearrangement, replacement, or addition to a building or its systems and equipment; any modification in construction or building equipment.

Annual fuel utilization efficiency (AFUE): An efficiency description of the ratio of annual output energy to annual input energy as developed in accordance with requirements of U.S. Department of Energy (DOE) 10CFR Part 430.

Area: See roof and wall, conditioned floor, day lighted, facade, fenestration, lighted floor.

Astronomical time switch: An automatic time switch that makes an adjustment for the length of the day as it varies over the year.

Authority having jurisdiction: The agency or agent responsible for enforcing this standard.

Automatic: Self-acting, operating by its own mechanism when actuated by some non-manual influence, such as a change in current strength, pressure, temperature, or mechanical configuration.

Automatic control device: A device capable of automatically turning loads off and on without manual intervention.

Balancing, air system: Adjusting airflow rates through air distribution system devices, such as fans and diffusers, by manually adjusting the position of dampers, splitters vanes, extractors, etc., or by using automatic control devices, such as constant air volume or variable air volume boxes .

Balancing, hydronic system: adjusting water flow rates through hydronic distribution system devices, such as pumps and coils, by manually adjusting the position valves, or by using automatic control devices, such as automatic flow control valves.

Ballast: A device used in conjunction with an electric-discharge lamp to cause the lamp to start and operate under proper circuit conations of voltage, current, waveform, electrode heat, etc.

Boiler: A self-contained low-pressure appliance for supplying steam or hot water.

Boiler, packaged a boiler that is shipped complete with heating equipment, mechanical draft equipment, and automatic controls; usually shipped in one or more sections. A packaged boiler includes factory-built boilers manufactured as a unit or system, disassembled for shipment, and reassembled at the site.

Building: Means any structure or erection or part of a structure or erection, after the rules relating to energy conservation building codes have been notified under clause (a) of section 15 or clause (I) of sub-section (2) of Section 56, which is having a connected load of 100 kW or contract demand of 120 kVA and above and is intended to be used for commercial purposes.

Building existing: A building or portion thereof that was previously occupied or approved for occupancy by the authority having jurisdiction.

Building complex: A group of buildings in a contiguous area under single ownership.

Building entrance: Any doorway, set of doors, turnstiles, or other form of portal that is ordinarily used to gain access to the building by its users and occupants.

Building envelope: The exterior plus the semi-exterior portions of a building. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) Building envelope, exterior: the elements of a building that separate conditioned spaces from the exterior.
- (b) Building envelope, semi-exterior: the elements of a building that separate conditioned space from unconditioned space or that enclose semi-heated spaces through which thermal energy may be transferred to or from the exterior, or to or from unconditioned spaces, or to or from conditioned spaces.

Building exit: Any doorway, set of doors, or other form of portal that is ordinarily used only for emergency egress or convenience exit.

Building grounds lighting: Lighting provided through a building's electrical service for parking lot, site, roadway, pedestrian pathway, loading dock, and security applications.

Building material: Any element of the building envelope through which heat flows and that heat is included in the component U-factor calculations other than air films and insulation.

Circuit breaker: A device designed to open and close a circuit by non automatic means and to open the circuit automatically at a predetermined over-current without damage to itself when properly applied within its rating.

Class of construction: For the building envelope, a subcategory of roof, wall, floor, slab-on- grade floor, opaque door, vertical fenestration, or skylight.

Coefficient Of Performance (COP) - cooling: The ratio of the rate of heat removal to the rate of energy input, in consistent units, for a complete refrigerating system or some specific portion of that system under designated operating conditions.

Coefficient Of Performance (COP) - heating: The ratio of the rate of heat delivered to the rate of energy input, in consistent units, for a complete heat pump system, including the compressor and, if applicable, auxiliary heat, under designated operating conditions.

Commercial building: All buildings except for multi-family buildings of three stories or fewer above grade and single-family buildings.

Construction documents: Drawings and specifications used to construct a building, building systems, or portions thereof.

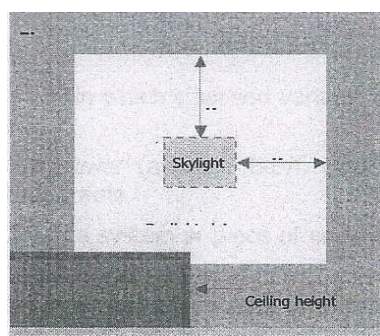
Control: To regulate the operation of equipment.

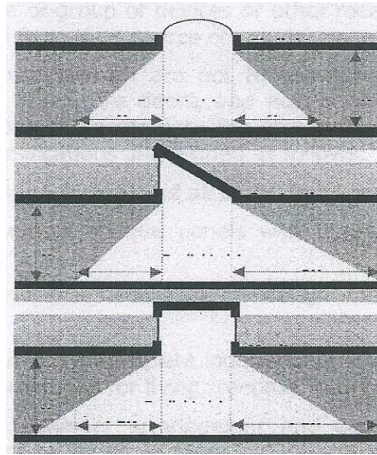
Control device: A specialized device used to regulate the operation of equipment.

Cool roof: A property of a surface that describes its ability to reflect and reject heat. Cool roof surfaces have both a light color (high solar reflectance) and a high emittance (can reject heat back to the environment).

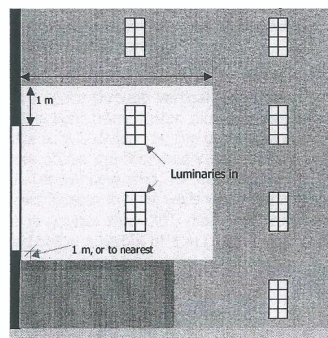
Day lighted area: The daylight illuminated floor area under horizontal fenestration (skylight) or adjacent to vertical fenestration (window), described as follows :

- (a) **Horizontal Fenestration:** The area under a skylight, monitor, or saw tooth configuration with an effective aperture greater than 0.001 (0.1%). The day lighted area is calculated as the horizontal dimension in each direction equal to the top aperture dimension in that direction plus either the floor-to-ceiling height (H) for skylights, or 1.5 H for monitors, or H or 2H for the saw tooth configuration, or the distance to the nearest 1000 mm (42 in) or higher opaque partition, or one-half the distance to an adjacent skylight or vertical glazing, whichever is least, as shown in the plan and section figures below.





- (b) **Vertical Fenestration:** The floor area adjacent to side apertures (vertical fenestration in walls) with an effective aperture greater than 0.06 (6%). The day lighted area extends into the space perpendicular to the side aperture a distance either two times the head height of the side aperture or to the nearest 1.35 m (54 in) or higher opaque partition, whichever is less. In the direction parallel to the window, the day lighted area extends a horizontal dimension equal to the width of the window plus either 1 m (3.3 ft) on each side of the aperture, the distance to an opaque partition, or one-half the distance to an skylight or window, whichever is least .



Dead band: The range of values within which a sensed variable can vary without initiating a change in the controlled process.

Demand: The highest amount of power (average Btu/h over an interval) recorded for a building or facility in a selected time frame.

Design capacity: Output capacity of a system or piece of equipment at design conditions.

Design conditions: Specified environmental conditions, such as temperature and light intensity, required to be produced and maintained by a system and under which the system must operate.

Distribution system: A device or group of devices or other means by which the conductors of a circuit can be disconnected from their source of supply.

Door: All operable opening areas (which are not fenestration) in the building envelope, including swinging and roll-up doors, fire doors, and access hatches. Doors that are more than one-half glass are considered fenestration. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) Door, non-swinging: roll-up sliding, and all other doors that are not swinging doors.
- (b) Door, swinging: all operable opaque panels with hinges on one side and opaque revolving doors.

Door area: Total area of the door measured using the rough opening and including the door slab and the frame.

Dwelling unit: A single unit providing complete independent living facilities for one or more persons, including permanent provisions for living, sleeping, eating, cooking, and sanitation.

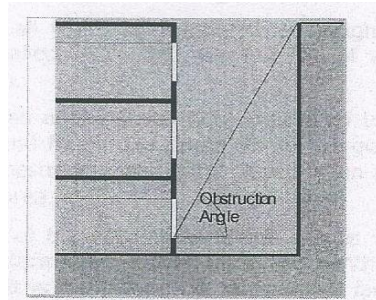
Economizer, air: A duct and damper arrangement and automatic control system that together allow a cooling system to supply outdoor air to reduce or eliminate the need for mechanical cooling during mild or cold weather.

Economizer, water: A system by which the supply air of a cooling system is cooled indirectly with water that is itself cooled by heat or mass transfer to the environment without the use of mechanical cooling.

Effective aperture: Visible Light Transmittance x Window-to-wall Ratio. ($EA = VLT \times WWR$).

Effective aperture, horizontal fenestration: A measure of the amount of daylight that enters a space through horizontal fenestration (skylights). It is the ratio of the skylight area times the visible light transmission divided by the gross roof area above the day lighted area. See also day lighted area.

Effective aperture, vertical fenestration: A measure of the amount of daylight that enters a space through vertical fenestration. It is the ratio of the daylight window area times its visible light transmission plus half the vision glass area times its visible light transmission and the sum is divided by the gross wall area. Day lighted window area is located 2.2 m (7 ft) or more above the floor and vision window area is located above 1 m (3 ft) but below 2.2 m (7 ft). The window area, for the purposes of determining effective aperture shall not include windows located in light wells when the angle of obstruction (a) of objects obscuring the sky dome is greater than 70°, measured from the horizontal, nor shall it include window area located below a height of 1 m (3 ft). See also day lighted area.



Efficacy: The lumens produced by a lamp/ballast system divided by the total watts of input power (including the ballast), expressed in lumens per watt.

Efficiency: Performance at a specified rating condition.

Remittance: The ratio of the radiant heat flux emitted by a specimen to that emitted by a blackbody at the same temperature and under the same conditions.

Enclosed building: A building that is totally enclosed by walls, floors, roofs, and operable devices such as doors and operable windows.

Energy: The capacity for doing work. It takes a number of forms that may be transformed from one into another such as thermal (heat), mechanical (work), electrical, and chemical. Customary measurements are watts (W).

Energy Efficiency Ratio (EER): The ratio of net cooling capacity in Btu/h to total rate of electric input in watts under designated operating conditions.

Energy Factor (EF): A measure of water heater overall efficiency.

Envelope performance factor: The trade-off value for the building envelope performance compliance option calculated using the procedures specified in Appendix 12. For the purposes of determining building envelope requirements the classifications are defined as follows:

- (a) Base envelope performance factor: the building envelope performance factor for the base design.
- (b) Proposed envelope performance factor: the building envelope performance factor for the proposed design.

Equipment: Devices for comfort conditioned, electric power, lighting, transportation, or service water heating including, but not limited to, furnaces, boilers, air conditioners, heat pumps, chillers, water heaters, lamps, luminaries, ballasts, elevators, escalators, or other devices or installations.

Equipment, existing: Equipment previously installed in an existing building.

Facade area: Area of the facade, including overhanging soffits, cornices, and protruding columns, measured in elevation in a vertical plane, parallel to the plane of the face of the building. Non horizontal roof surfaces shall be included in the calculations of vertical façade area by measuring the area in a plane parallel to the surface.

Fan system power: The sum of the nominal power demand (nameplate W or HP) of motors of all fans that are required to operate at design conditions to supply air from the heating or cooling source to the conditioned space(s) and return it to the source of exhaust it to the outdoors.

Fenestration: All areas (including the frames) in the building envelope that let in light, including windows, plastic panels, clerestories, skylights, glass doors that are more than one-half glass, and glass block walls.

- (a) Skylight: a fenestration surface having a slope of less than 60 degrees from the horizontal plane. Other fenestration, even if mounted on the roof of a building, is considered vertical fenestration.
- (b) Vertical fenestration: all fenestration other than skylights. Trombe wall assemblies, where glazing is installed within 300 mm (12 in) of a mass wall, are considered walls, not fenestration.

Fenestration area: Total area of the fenestration measured using the rough opening and including the glazing, sash, and frame. For doors where the glazed vision area is less than 50% of the door area, the fenestration area is the glazed vision area. For all other doors, the fenestration area is the door area.

Floor area gross: The sum of the floor areas of the spaces within the building including basements, mezzanine and intermediate-floored tiers, and penthouses with headroom height of 2.5 m (7.5 ft) or greater. It is measured from the exterior faces of exterior walls or from the centerline of walls separating buildings, but excluding covered walkways, open roofed over areas, porches and similar spaces, pipe trenches, exterior terraces or steps, chimneys, roof overhangs, and similar features.

- (a) Gross building envelope floor area: the gross floor area of the building envelope, but excluding slab-on-grade floors.
- (b) Gross conditioned floor area: the gross floor area of conditioned spaces.
- (c) Gross lighted floor area: the gross floor area of lighted spaces.
- (d) Gross semi heated floor area: the gross floor area of semi heated spaces.

Flue damper: A device in the flue outlet or in the inlet of or upstream of the draft control device of an individual, automatically operated, fossil fuel-fired appliance that is designed to automatically open the flue outlet during appliance operation and to automatically close the flue outlet when then appliance is in standby condition.

Fossil fuel: Fuel derived from a hydrocarbon deposit such as petroleum, coal, or natural gas derived from living matter of a previous geologic time.

Fuel: A material that may be used to produce heat or generate power by combustion.

Generally accepted engineer standard: A specification, rule, guide, or procedure in the field of engineer, or related thereto, recognized and accepted as authoritative.

Grade: The finished ground level adjoining a building at all exterior wall.

Guest room: Any room or rooms used or intended to be used by a guest for sleeping purposes.

Heat capacity: The amount of heat necessary to raise the temperature of a given mass 1°C (1°F). Numerically, the heat capacity per unit area of surface ($W/m^2 \cdot ^\circ C$ [Btu/ft² · °F]) is the sum of the products of the mass per unit area of each individual material in the roof, wall, or floor surface multiplied by its individual specific heat.

Heating Seasonal Performance Factor (HSPF): The total heating output of a heat pump during its normal annual usage period for heating (in Btu) divided by the total electric energy input during the same period.

Historic: A building or space that has been specifically designed as historically significant.

HVAC system: The equipment, distribution systems, and terminals that provide, either collectively or individually, the processes of heating, ventilating, or air conditioned to a building or portion of a building.

Infiltration: The uncontrolled inward air leakage through cracks and crevices in any building element and around windows and doors of a building caused by pressure differences across these elements due to factors such as wind, inside and outside temperature differences (stack effect), and imbalance between supply and exhaust air systems.

Installed interior lighting power: The power in watts of all permanently installed general, task and furniture lighting systems and luminaries.

Integrated part-load value (IPLV): A single number figure of merit based on part-load EER, COP, or KW/ton expressing part-load efficiency for air-conditioning and heat pump equipment on the basis of weighted operation at various load capacities for the equipment.

Kilovolt-ampere (kVA): Where the term "kilovolt-ampere" (kVA) is used in this standard, it is the product of the line current (amperes) times the nominal system voltage (kilovolts) times 1.732 for three-phase currents. For single-phase applications, kVA is the product of the line current (amperes) times the nominal system voltage (kilovolts).

Kilowatt (kW): The basic unit of electric power, equal to 1000 W.

Labeled: Equipment or materials to which a symbol or other identifying mark has been attached by the manufacturer indicating compliance with specified standard or performance in a specified manner.

Lamp: A generic term for man-made light source often called bulb or tube.

Lighted floor area, gross: The gross floor area of lighted spaces.

Lighting, decorative: Lighting that is purely ornamental and installed for aesthetic effect. Decorative lighting shall not include general lighting.

Lighting, emergency: Lighting that provides illumination only when there is a general lighting failure.

Lighting, general: Lighting that provides a substantially uniform level of illumination throughout an area. General lighting shall not include decorative lighting or lighting that provides a dissimilar level of illumination to serve a specialized application or feature within such area.

Lighting Efficacy (LE): The quotient of the total lumens emitted from a lamp or lamp/ballast combination divided by the watts of input power, expressed in lumens per watt.

Lighting system: A group of luminaries circuited or controlled to perform a specific function.

Lighting power allowance:

- (a) Interior lighting power allowance: the maximum lighting power in watts allowed for the interior of a building.
- (b) Exterior lighting power allowance: the maximum lighting power in watts allowed for the exterior of a building.

Lighting Power Density (LPD): The maximum lighting power per unit of area of a building classification of space function.

Low-rise residential: Single-family houses, multi-family structures of three stories or fewer above grade, manufactured houses (mobile homes), and manufactured houses (modular).

Luminaries: A complete lighting unit consisting of a lamp or lamps together with the housing designed to distribute the light, position and protect the lamps, and connect the lamps to the power supply.

Manual (non-automatic): Requiring personal intervention for control. Non-automatic does not necessarily imply a manual controller, only that personal intervention is necessary.

Manufacturer: The company engaged in the original production and assembly of products or equipment or a company that purchases such products and equipment manufactured in accordance with company specifications.

Mean temperature: One-half the sum of the minimum daily temperature and maximum daily temperature.

Mechanical cooling: Reducing the temperature of a gas or liquid by using vapor compression, absorption, desiccant dehumidification combined with evaporative cooling, or another energy-driven thermodynamic cycle. Indirect of direct evaporative cooling alone is not considered mechanical cooling.

Metering: Instruments that measure electric voltage, current, power, etc.

Multifamily high-rise: Multifamily structures of four or more stories above grade.

Multifamily low-rise: Multifamily structures of three or less stories above grade.

Multiplication factor (M): Indicates the relative reduction in annual solar cooling load from overhangs and/or side fins with given projection factors, relative to the respective horizontal and vertical fenestration dimensions.

Non-automatic: See manual.

Occupant sensor: A device that detects the presence or absence of people within an area and causes lighting, equipment, or appliances to be regulated accordingly.

Opaque: All areas in the building envelope, except fenestration and building service openings such as vents and grilles.

Orientation: The direction an envelope element faces, i.e., the direction of a vector perpendicular to and pointing away from the surface outside of the element. For vertical fenestration, the two categories are north-oriented and all other.

Outdoor (outside) air: Air that is outside the building envelope or is taken from the outside the building that has not been previously circulated through the building.

Over current: Any current in excess of the rated current of the equipment of the ampacity of the conductor. It may result from overload, short circuit, or ground fault.

Packaged Terminal Air Conditioner (PTAC): A factory-selected wall sleeve and separate unencased combination of heating and cooling components, assemblies, or sections. It may include heating capability by hot water, steam, or electricity, and is intended for mounting through the wall to service a single room or zone.

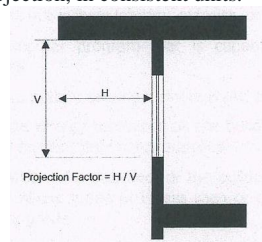
Party wall: A firewall on an interior lot line used or adapted for joint service between two buildings.

Permanently installed: Equipment that is fixed in place and is not portable or movable. Plenum : a compartment or chamber to which one or more ducts are connected, that forms a part of the air distribution system, and that is not used for occupancy or storage. A plenum often is formed in part or in total by portions for the building.

Pool: Any structure, basin, or tank containing an artificial body of water for swimming, diving, or recreational bathing. The terms include, but not limited to, swimming pool, whirlpool, spa, hot tub.

Process load: The load on a building resulting from the consumption or release of process energy.

Projection factor, overhang: The ratio of the horizontal depth of the external shading projection divided by the sum of the height of the fenestration and the distance from the top of the fenestration to the bottom of the farthest point of the external shading projection, in consistent units.



Projection factor, side fin: The ratio of the horizontal depth of the external shading projection divided by the distance from the window jamb to the farthest point of the external.

R-value (thermal resistance): The reciprocal of the time rate of heat flow through a unit area induced by a unit temperature difference between two defined surfaces of material or construction under steady-state conditions. Units of R are $m^2 \cdot ^\circ C / W$ ($h \cdot ft^2 \cdot ^\circ F / Btu$). For the prescriptive building envelope option, R-value is for the insulation alone and does not include building materials or air films.

Readily accessible: Capable of being reached quickly for operation, renewal, or inspections without requiring those to whom ready access is requisite to climb over or remove obstacles or to resort to portable ladders, chairs, etc. In public facilities, accessibility may be limited to certified personnel through locking covers or by placing equipment in locked rooms.

Recirculating system: A domestic or service hot water distribution system that includes a close circulation circuit designed to maintain usage temperatures in hot water pipes near terminal devices (e.g. lavatory faucets, shower heads) in order to reduce the time required to obtain hot water when the terminal device valve is opened. The motive force for circulation is either natural (due to water density variations with temperature) or mechanical (recirculation pump).

Reflectance: The ratio of the light reflected by a surface to the light incident upon it.

Resistance, electric: The property of an electric circuit or of any object used as part of an electric circuit that determines for a given circuit the rate at which electric energy is converted into heat or radiant energy and that has a value such that the product of the resistance and the square of the current gives the rate of conversion of energy.

Reset: Automatic adjustment of the controller set point to a higher or lower value.

Residential: Spaces in buildings used primarily for living and sleeping. Residential spaces include, but are not limited to, dwelling units, hotel/motel guest rooms, dormitories, nursing homes, patient rooms in hospitals, lodging houses, fraternity/sorority houses, hostels, prisons, and fire stations.

Roof: The upper portion of the building envelope, including opaque areas and fenestration, that is horizontal or tilted at an angle of less than 60° from horizontal.

Roof area, gross: The area of the roof measured from the exterior faces of walls or from the centerline of party walls.

Service: The equipment for delivering energy from the supply or distribution system to the premises served.

Service water heating: Heating water for domestic or commercial purposes other than space heating and process requirements.

Set point: Point at which the desired temperature (°F) of the heated or cooled space is set.

Shading Coefficient (SC): The ratio of solar heat gain at normal incidence through glazing to that occurring through 3 mm (1/8 in) thick clear, double-strength glass. Shading coefficient, as used herein, does not include interior, exterior, or integral shading devices.

Simulation program: A computer program that is capable of simulating the energy performance of building systems.

Single-zone system: An HVAC system serving a single HVAC zone.

Site-recovered energy: Waste energy recovered at the building site that is used to offset consumption of purchased fuel or electrical energy supplies.

slab-on-grade floor: That portion of a slab floor of the building envelope that is in contact with ground and that is either above grade or is less than or equal to 24 in below the final elevation of the nearest exterior grade.

Solar energy source: Source of thermal, chemical, or electrical energy derived from direction conversion of incident solar radiation at the building site.

Solar Heat Gain Coefficient (SHGC): The ratio of the solar heat gain entering the space through the fenestration area to the incident solar radiation. Solar heat gain includes directly transmitted solar heat and absorbed solar radiation, which is then reradiated, conducted, or convected into the space.

Space: An enclosed space within a building. The classifications of spaces are as follows for the purpose of determining building envelope requirements.

- (a) Conditioned space: a cooled space, heated space, or directly conditioned space.
- (b) Semi-heated space: an enclosed space within a building that is heated by a heating system whose output capacity is greater or equal to 10.7 W/m² (3.4 Btu/h-ft²) of floor area but is not a conditioned space.
- (c) An enclosed space within a building that is not conditioned space or a semi-heated space. Crawlspace, attics, and parking garages with natural or mechanical ventilation are not considered enclosed spaces.

Story: Portion of a building that is between one finished floor level and the next higher finished floor level or the roof, provided, however, that a basement or cellar shall not be considered a story.

System: A combination of equipment and auxiliary devices (e.g., controls, accessories, interconnecting means, and terminal elements) by which energy is transformed so it performs a specific function such as HVAC, service water heating, or lighting.

System, existing: A system or systems previously installed in an existing building.

Terminal: A device by which energy from a system is finally delivered, e.g., registers, diffusers, lighting fixtures, faucets, etc.

Thermal block: A collection of one or more HVAC zones grouped together for simulation purposes. Spaces need not be contiguous to be combined within a single thermal block.

U-factor (Thermal Transmittance): Heat transmission in unit time through unit area of a material or construction and the boundary air films, induced by unit temperature difference between the environments on each side. Units of U are W/m²-°C (Btu/h-ft²-°F).

Thermostat: An automatic control device used to maintain temperature at a fixed or adjustable set point.

Tinted: (as applied to fenestration) Bronze, green, or grey coloring that is integral with the glazing material. Tinting does not include surface applied films such as reflective coatings, applied either in the field or during the manufacturing process.

Transformer: A piece of electrical equipment used to convert electric power from one voltage to another voltage.

Variable Air Volume (VAV) system: HVAC system that controls the dry-bulb temperature within a space by varying the volumetric flow of heated or cooled supply air to the space.

Vent damper: A device intended for installation in the venting system or an individual, automatically operated, fossil fuel-fired appliance in the outlet or downstream of the appliance draft control device, which is designed to automatically open the venting system when the appliance is in operation and to automatically close off the venting system when the appliance is in standby or shutdown condition.

Ventilation: The process of supplying or removing air by natural or mechanical means to or from any space. Such air is not required to have been conditioned.

Wall: That portion of the building envelope, including opaque area and fenestration, that is vertical or tilted at an angle of 60° from horizontal or greater. This includes above- and below-grade walls, between floor spandrels, peripheral edges of floors, and foundation walls.

(a) Wall, above grade: a wall that is not below grade.

(b) Wall, below grade: that portion of a wall in the building envelope that is entirely below the finish grade and in contact with the ground.

Wall area, gross: The overall area of a wall including openings such as windows and doors, measured horizontally from outside surface to outside service and measured vertically from the top of the floor to the top of the roof. If roof insulation is installed at the ceiling level rather than the roof, then the vertical measurement is made to the top of the ceiling. (Note that § 4.3.1 does not allow roof insulation to be located on a suspended ceiling with removable ceiling panels.) The gross wall area includes the area between the ceiling and the floor for multi-story buildings.

Water heater : Vessel in which water is heated and is withdrawn for use external to the system.

Zone, HVAC: A space or group of spaces within a building with heating and cooling requirements that are sufficiently similar so that desired conditions (e.g., temperature) can be maintained throughout using a single sensor (e.g., thermostat or temperature sensor).

10.3 Abbreviations and Acronyms

AFUE	Annual fuel utilization efficiency
ANSI	American National Standards Institute
ARI	Air-Conditioning and Refrigeration Institute
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers
ASTM	American Society for Testing and Materials
BIS	Bureau of Indian Standards
Btu	British thermal unit
Btu/h	British thermal units per hour
Btu/ft ² -°F	British thermal units per square foot per degree Fahrenheit
Btu/h-ft ²	British thermal units per hour per square foot
Btu/h-ft-°F	British thermal units per lineal foot per degree Fahrenheit
Btu/h-ft ² -°F	British thermal units per hour per square foot per degree Fahrenheit
C	Celsius
Cfm	Cubic feet per minute
cm	Centimeter
COP	Coefficient of performance
DOE	U.S. Department of Energy
EER	Energy efficiency ratio
EF	Energy factor
F	Fahrenheit
Ft	Foot
h	Hour
HC	Heat capacity
h-ft ² -°F/Btu	Hour per square foot per degree Fahrenheit per British thermal unit
h-m ² -°C/W	Hour per square meter per degree Celsius per Watt
hp	Horsepower
HSPF	Heating seasonal performance factor
HVAC	Heating, ventilation and air conditioning
I-P	Inch-pound

in.	Inch
IPLV	Integrated part-load value
kVA	Kilovolt-ampere
kW	Kilowatt
kWh	Kilowatt-hour
LE	Lighting efficacy
lin	Linear
lin ft	Linear foot
lin m	Linear meter
lm	Lumen
LPD	Lighting power density
m	Meter
mm	Millimeter
NAECA	National Appliance Energy Conservation Act
PF	Projection factor
PTAC	Packaged terminal air conditioner
R	R-value (thermal resistance)
SC	Shading coefficient
SHGC	Solar heat gain coefficient
SL	Standby loss
VAV	Variable air volume
VLT	Visible light transmission
W	Watt
W/ft ²	Watts per square feet
W/m ²	Watts per square meter
W/m ² -°C	Watts per square meter per degree Celsius
W/h-m ²	Watts per hour per square meter
W/m-°C	Watts per linear meter per degree Celsius
W/h-m ² -°C	Watts per hour per square meter per degree Celsius
Wh	Watt hour

11. Appendix B – Energy Budget Method

11.1 General

11.1.1 Scope

The Energy Budget method is an alternative to the prescriptive requirements contained in § 1 through § 8 of this standard. It applies for all building types covered by the standard.

11.1.2 Compliance

A building complies with the Energy Budget method when the estimated annual energy use of the proposed design is less than the standard design, even though it may not comply with the specific requirements of the prescriptive requirements in § 1 through § 8. The mandatory requirements of § 1 through § 8 (§ 4.2, § 5.2, § 6.2, § 7.2 and § 8.2) shall be satisfied with the Energy Budget method.

11.1.3 Annual Energy Use

Annual energy use for the purposes of the Energy Budget method shall be calculated in kilowatt-hours (kWh) of electricity use per year. Energy sources other than electricity which are used, is used in the building shall be converted to kWh of electric energy at the rate of 0.75 kWh per mega Joule.

11.1.4 Trade-offs limited to Building Permit

The Energy Budget method may be used for building permit applications that include less than the whole building; however, any design parameters that are not part of the building permit application shall be identical for both the proposed design and the standard design. Future improvements to the building shall comply with both the mandatory and prescriptive requirements.

11.1.5 Documentation Requirements

Compliance shall be documented and submitted to the authority having jurisdiction. The information submitted shall include the following:

- (a) The annual energy use for the proposed design and the standard design,
- (b) A list of the energy-related building features in the proposed design that is different from the standard design.
- (c) The input and output report(s) from the simulation program including a breakdown of energy usage by at least the following components: lights, internal equipment loads, service water heating equipment, space heating equipment, space cooling and heat rejection equipment, fans, and other HVAC equipment (such as pumps). The output reports shall also show the amount of time any loads are not met by the HVAC system for both the proposed design and standard design.
- (d) An explanation of any error messages noted in the simulation program output.

11.2 Simulation General Requirements**11.2.1 Energy Simulation Program**

The simulation program shall be a computer-based program for the analysis of energy consumption in buildings and be approved by the authority having jurisdiction. The simulation program shall model the following:

- (a) Energy flows on an hourly basis for all 8,760 hours in the year,
- (b) Hourly variations in occupancy, lighting power, miscellaneous equipment power, thermostat set points, and HVAC system operation, defined separately for each day of the week and holidays,
- (c) Thermal mass effects.
- (d) Ten or more thermal zones,
- (e) Part-load and temperature dependent performance of heating and cooling equipment,
- (f) Air-side and water-side economizers with integrated control, and
- (g) All of the standard design characteristics specified in this chapter.

11.2.2 Climatic Data

The simulation program shall use hourly values of climatic data, such as temperature and humidity from representative climatic data, for the city in which the proposed design is to be located. For cities or urban regions with several climatic data entries, and for locations where weather data are not available, the designer shall select available weather data that best represent the climate at the construction site.

11.2.3 Compliance Calculations

The proposed design and standard design shall be calculated using the following:

- (a) Same simulation program,
- (b) Same weather data, and
- (c) Same building operation assumptions (thermostat set points, schedules, internal gains, occupant loads, etc.).

11.3 Calculating the Energy Consumption of the Proposed Design and the Standard Design

11.3.1 The simulation model for calculating the proposed design and the standard design shall be developed in accordance with the requirements in Table 11.1.

11.3.2 HVAC Systems

The HVAC system type and related performance parameters for the standard design shall be determined from Table 11.2 and the following rules:

11.3.3 Other Components

Components and parameters not listed in Table 11.2 or otherwise specifically addressed in this sub-section shall be identical to those in the proposed design.

Exception to § 11.3.3: Where there are specific requirements in § 5.2.2, the component efficiency in the standard design shall be adjusted to the lowest efficiency level allowed by the requirement for that component type.

- (a) All HVAC and service water heating equipment in the standard design shall be modeled at the minimum efficiency levels, both part load and full load, in accordance with § 5.2.2.
- (b) Where efficiency ratings, such as EER and COP, include fan energy, the descriptor shall be broken down into its components so that supply fan energy can be modeled separately.
- (c) Minimum outdoor air ventilation rates shall be the same for both the standard design and the proposed design.
- (d) The equipment capacities for the standard design shall be sized proportionally to the capacities in the proposed design based on sizing runs; i.e., the ratio between the capacities used in the annual simulations and the capacities determined by the sizing runs shall be the same for both the proposed design and standard design. Unmet load hours for the proposed design shall not differ from unmet load hours for the standard design by more than 50 hours. The maximum no. of unmet hrs. shall not exceed 300 for either case.

Table 11.1 Modeling Requirements for Calculating Proposed and Standard Design:

Case	Proposed Building	Standard Design
1. Design Model	(a) The simulation model of the proposed design shall be consistent with the design modifying the proposed design documents, including proper accounting fenestration and opaque envelope types and area; interior lighting power and controls; HVAC system types, sizes, and controls; and service water heating systems and controls. (b)When the Energy Budget method is applied to buildings in which energy-related features have not yet been designed (e.g., a lighting system), those yet-to-be-designed features shall be described in the proposed design so that they minimally comply with applicable mandatory and prescriptive requirements from § 1 through § 8.	The standard design shall be developed by modifying the proposed design as described in this table, all building systems and equipment shall be modeled identically in the standard design and proposed design.
2. Space Use Classification	The building type or space type classifications shall be chosen in accordance with § 7.3.2 or § 7.3.3. more than one building type category may be used in a building if it is a mixed-use facility.	Same as proposed design
3. Schedules	The schedules shall be typical of the proposed building type as determined by the designer and approved by the authority having jurisdiction.	Same as proposed design

4. Building Envelope	<p>All components of the building envelope in the proposed design shall be modeled as shown on architectural drawings or as installed for existing building envelopes.</p> <p>Exceptions : The following building elements are permitted to differ from architectural drawings.</p> <p>(a) Any envelope assembly that covers less than 5% of the total area of that assembly type (e.g., exterior walls) need not be separately described. If not separately described, the area of an envelope assembly must be added to the area of the adjacent assembly of that same type.</p> <p>(b) Exterior surfaces whose azimuth orientation and tilt differ by no more than 45 degrees and are otherwise the same may be described as either a single surface or by using multipliers.</p> <p>(c) For exterior roofs other than roofs with ventilated attics, the reflectance and emittance of the roof surface shall be modeled. The reflectance and emittance shall be tested in accordance with § 4.3.1.1.</p> <p>(d) Manually operated fenestration shading devices such as blinds or shades shall not be modeled. Permanent shading devices such as fins, overhangs, and light shelves shall be modeled.</p>	<p>The standard design shall have identical conditioned floor area and identical exterior dimensions and orientations as the proposed design, except as noted in (a), (b), (c), and (d) below.</p> <p>(a) Orientation. The baseline building performance shall be generated by simulating the building with its actual orientation and again after rotating the entire building 90, 180, 270 degrees, then averaging the results. The building shall be modeled so that it does not shade itself.</p> <p>(b) Opaque assemblies such as roof, floors, doors, and walls shall be modeled as having the same heat capacity as the proposed design but with the minimum U-factor required in § 4.3.1 and § 4.3.2.</p> <p>(c) Fenestration- Fenestration areas shall equal that in the proposed design or 40% of gross above grade wall area, whichever is smaller, and shall be distributed uniformly in horizontal bands across the four orientations. No shading projections are to be modeled; fenestration shall be assumed to be flush with the exterior wall or roof. Manually operated fenestration shading devices such as blinds or shades shall not be modeled. Fenestration U- factor shall be the minimum required for the climate, and the solar heat gain coefficient shall be the maximum allowed for the climate and orientation.</p> <p>(d) Roof albedo. All roof surfaces shall be modeled with a reflectivity of 0.30.</p>
5. Lighting	<p>Lighting power in the proposed design shall be determined as follows:</p> <p>(a) Where a complete lighting system exists, the actual lighting power shall be used in the model.</p> <p>(b) Where a complete lighting system has been designed, lighting power shall be designed in accordance with either §7.3.2 or §7.3.3</p> <p>(c) Where no lighting exists or specified, lighting power shall be determined in accordance with §7.3.2 for the appropriate building type.</p>	<p>Lighting power in the standard design shall be determined using the same categorization procedure (building area or space function) and categories as the proposed design with lighting power set equal to the maximum allowed for the corresponding method and category in either §7.3.2 or §7.3.3. Power for fixtures not included in the lighting power density calculation shall be modeled identically in the proposed design and standard design. Lighting control shall be the minimum required.</p>

	(d)Lighting system power shall include all lighting system components shown or provided for on plans (including lamps, ballasts, task fixtures and furniture mounted fixtures)	
6.HVAC Systems	<p>The HVAC system type and all related performance parameters, such as equipment capacities and efficiencies, in the proposed design shall be determined as follows:</p> <p>(a)Where a complete HVAC system exists, the model shall reflect the actual system type using actual component capacities and efficiencies.</p> <p>(b)Where an HVAC system has been designed, the HVAC model shall be consistent with design documents. Mechanical equipment efficiencies shall be adjusted from actual design conditions to the standard rating conditions, specified in §5, if required by the simulation model.</p> <p>(c)Where no heating system exists or no heating system has been specified, the heating system shall be modeled as electric resistance. The system characteristics shall be identical to the system modeled in the standard design.</p> <p>(d)Where no cooling system exists or no cooling system has been specified, the cooling system shall be modeled as an air-conditioned single-zone system, one unit per thermal block. The system characteristics shall be identical to the system modeled in the standard design.</p>	<p>The HVAC system type and related performance parameters for the standard design shall be determined from Table11.2. Equipment performance shall meet the requirement of §5.</p>
7.Service Hot Water	<p>The service hot water system type and all related performance parameters such as equipment capacities and efficiencies, in the proposed design shall be determined as follows:</p> <p>(a)Where a complete service hot water system exists, the model shall reflect the actual system type using actual component capacities and efficiencies.</p> <p>(b)Where a service hot water system has been designed, the service hot water model shall be consistent with design documents.</p> <p>(c) Where no service hot water system exists or is specified, no service hot water heating shall be modelled</p>	<p>The water heating system shall be of the same type of the proposed design. For residential facilities, hotels and hospitals the standard system shall have a solar system capable of meeting 20% of the design load.</p> <p>Systems shall meet the efficiency requirements of §6.2.2, the pipe insulation requirements of §6.2.4 and incorporate heat traps in accordance with § 6.2.5.</p>

8. Miscellaneous loads	Receptacle, motor and process loads shall be modelled and estimated based on the building type or space type category. These loads shall be included in simulations of the building and shall be included when calculating the standard design. All end use load- components within and associated with the building shall be modelled, unless specifically excluded by Sections 13 and 14 of this table (see below), but not limited to, exhaust fans, parking garage ventilation fans, exterior building lighting, swimming pool heaters and pumps, elevators and escalators, refrigeration equipment and cooking equipment.	Receptacle, motor and process loads shall be modeled the same as the proposed design. The water heating system shall be of the same type of the proposed design.
9. Modeling limitations to the simulation programme	If the simulation programme cannot model a component or system included in the proposed design, one of the following methods shall be used with the approval of the authority having jurisdiction (a)Ignore the component if the energy impact on the trade-offs being considered is not significant. (b)Model the component substituting a thermodynamically similar component model. (c)Model the HVAC system components or systems using the standard design's HVAC system in accordance with Section 6 of this table. Whichever method is selected, the component shall be modelled identically for the both the proposed design and standard design models.	Same as proposed design.

Table 11.2 HVAC systems map

		Non-residential		
	Residential more than 3 stories	Less than 3 floors or less than 7500 m ²	4 or 5 floors or less than 7,500m ² or 5 floors or less and 7,500-15,000 m ²	More than 5 floors or more than 15,000 m ²
Code	PTAC	PSZ	RHFS	RHFS
System type	Packaged terminal air conditioner	Packaged rooftop air conditioner	Central cooling plant with constant volume AHU for each zone	Central cooling plant with constant volume AHU for each zone
Fan control	Constant volume	Constant volume	Constant volume air handler for each zone	Variable volume air handler for each zone
Cooling type	Direct expansion	Direct expansion	Chilling water*	Chilling water*
Heating type	Electric resistance	Electric resistance	Electric resistance	Electric resistance

*If the proposed building has an air cooled chiller/system then the budget building shall have Air cooled chiller otherwise the budget case shall have water cooled centrifugal chillers.
Chiller efficiencies shall be as per Table 5.1.

12. Appendix-C Default values for typical constructions

12.1 Procedure for determining Fenestration product U-Factor and Solar Heat Gain Co-efficient

§4.2.1.1 and §4.2.1.2 require that U-Factors and solar heat gain coefficients (SHGC) be determined for the overall fenestration product (including the sash and the frame) in accordance with ISO 15099. The building envelope trade-off option in § 4.4 requires the use of visible light transmittance (VLT).

In several cases, ISO 15099 suggests that individual national standards will need to be more specific and in other cases the ISO document gives users the choice of two options. This section clarifies these specific issues as they are to be implemented for this code:

- (a) §4.1 of ISO 15099 : For calculating the overall U-Factor, ISO 15099 offers a choice between the linear thermal transmittance (4.1.2) and the area weighted method (4.1.3). The area weighted method (4.1.3) shall be used.
- (b) §4.2.2 of ISO 15099 : Frame and divider SHGC's shall be calculated in accordance with §4.2.2.
- (c) §6.4 of ISO 15099 refers the issue of material properties to national standards.
Material conductivities and emissivities shall be determined in accordance with Indian Standards.
- (d) §7 of ISO 15099 on shading systems is currently excluded.
- (e) §8.2 of ISO 15099 address environmental conditions. The following are defined for India:

For U-Factor calculations:

$$T_{in} = 24^{\circ}\text{C } 75\text{F}$$

$$T_{out} = 32^{\circ}\text{C } 89\text{F}$$

$$V = 3.35 \text{ m/s } 7.5 \text{ mph}$$

$$T_{m,out} = T_{out}$$

$$T_{m,in} = T_{in}$$

$$I_s = 0 \text{ W/M}^2 \text{ (248 Btu/Hr/Ft}^2\text{)}$$

For SHGC calculations:

$$T_{in} = 24^{\circ}\text{C}$$

$$T_{out} = 32^{\circ}\text{C}$$

$$V = 2.75 \text{ m/s}$$

$$T_{m,out} = T_{out}$$

$$T_{m,in} = T_{in}$$

$$I_s = 783 \text{ W/M}^2$$

- (f) § 8.3 of ISO 15099 address convective film coefficients on the interior and exterior of the window product.
In §8.3.1 of ISO 15099, simulations shall use the heat transfer coefficient based on the center of glass temperature and the entire window light; this film coefficient shall be used on all indoor surfaces, including frame sections. In §8.3.2 of ISO 15099, the formula from this section shall be applied to all outdoor exposed surfaces.

- (g) §8.4.2 of ISO 15099 presents two possible approaches for incorporating the impacts of self-viewing surfaces on interior radiative heat transfer calculations. Products shall use the method in §8.4.2.1 of ISO 15099 (Two-Dimensional Element to Element View Factor Based Radiation Heat Transfer Calculation). The alternate approach in §8.4.3 of ISO 15099 shall not be used.

12.2 Default U-Factors and Solar Heat Gain Coefficients for Unrated Fenestration Products

All fenestration with U-Factors, SHGC or visible light transmittance determined, certified and labeled in accordance with ISO 15099 shall be assigned those values.

12.2.1 Unrated Vertical Fenestration

Unlabeled vertical Fenestration, both operable and fixed, shall be assigned the U-Factors, SHGCs, and visible light transmittances in Table 12.1

Table 12.1 Defaults for Unrated Vertical Fenestration (Overall Assembly including the Sash and Frame)

Frame Type	Glazing Type	Clear Glass			Tinted Glass		
		U-Factor (W/m ² ·°C)	SHGC	VLT	U-Factor (W/m ² ·°C)	SHGC	VLT
All Frame Types	Single Glazing	7.1	0.82	0.76	7.1	0.70	0.58
Wood, Vinyl or fiber-glass frame	Double Glazing	3.3	0.59	0.64	3.4	0.42	0.39
Metal and other Frame type	Double Glazing	5.1	0.68	0.66	5.1	0.50	0.40

12.2.2 Unrated Sloped Glazing and Skylights

Unrated sloped glazing and skylights, both operable and fixed, shall be assigned the SHGCs and visible light transmittances in Table 12.1. To determine the default U-Factor for unrated sloped glazing and skylights without a curb, multiply the values in Table 12.1 by 1.2. To determine the default U-Factor for unrated skylights on a curb, multiply the values in Table 12.1 by 1.6.

12.3 Typical Roof Constructions

For calculating the overall U-Factor of a typical roof construction, the U-Factors from the typical wall construction type and effective U-Factor for insulation shall be combined according to the following equation:

$$U_{\text{Total Roof}} = \frac{1}{\frac{1}{U_{\text{Typical Roof}}} + \frac{1}{U_{\text{Typical Insulation}}}}$$

Where

$U_{\text{Total Roof}}$ Total U-Factor of the roof with insulation

$U_{\text{Typical Roof}}$ U-Factor of the roof

$U_{\text{Typical Insulation}}$ U-Factor of the effective insulation from Table 12.1

Table 12.2 Defaults for Effective U-Factor for Exterior Insulation Layers :

Thickness	R-value	U-Factor (W/m ² -°K)
15 mm (0.5")	0.70 (4)	1.420
20 mm (0.75")	1.06 (6)	0.946
25 mm (1.0")	1.41 (8)	0.710
40 mm (1.5")	2.11 (12)	0.568
50 mm (2.0")	2.82 (16)	0.406
65 mm (2.5")	3.52 (20)	0.284
75 mm (3.0")	3.70(21)	0.270

12.4 Typical Wall Constructions

For calculating the overall U-Factor of a typical wall construction, the U-Factors from the typical wall construction type and effective U-Factor for insulation shall be combined according to the following equation:

$$U_{Total\ Wall} = \frac{1}{\frac{1}{U_{Typical\ Wall}} + \frac{1}{U_{Typical\ Insulation}}}$$

Where

$U_{Total\ Wall}$ Total U-Factor of the wall with insulation

$U_{Typical\ wall}$ U-Factor of the wall

$U_{Typical\ Insulation}$ U-Factor of the effective insulation from Table 12.3 or Table 12.5

Table 12.3 Defaults for Effective U-Factor for Exterior Insulation Layers :

Thickness	R-value	U-Factor (W/m ² -°K)
15 mm (0.5")	0.70 (4)	1.262
20 mm (0.75")	1.06 (6)	0.874
25 mm (1.0")	1.41 (8)	0.668
40 mm (1.5")	2.11 (12)	0.454
50 mm (2.0")	2.82 (16)	0.344
65 mm (2.5")	3.52 (20)	0.277
75 mm (3.0")	3.70(21)	0.264

Table 12.4 Defaults for Effective U-Factor for Interior Insulation Layers :

Thickness	R-value	U-Factor (W/m ² -°K)	U-Factor(Btu/h-ft ² -°F)
15 mm (0.5")	0.70 (4)	4.732	0.833
20 mm (0.75")	1.06 (6)	3.549	0.625
25 mm (1.0")	1.41 (8)	2.988	0.526
40 mm (1.5")	2.11 (12)	2.103	0.37
50 mm (2.0")	2.82 (16)	1.670	0.294
65 mm (2.5")	3.52 (20)	1.385	0.244
75 mm (3.0")	3.70 (21)	1.183	0.208

Table 12.5 Typical Thermal properties of common building and Insulating Materials

Description	Density	Conductivity ^b (k)	Conductance (C)	Resistance ^c (R)		
				Per Inch Thickness (1/k), °F-ft ² -h Btu-in	For Thickness (1/C) °F-ft ² -h Btu	Specifi c Heat Btu Lb-°F
	Lb/ft ³	$\frac{\text{Btu-in}}{\text{h-ft}^2\text{-}^\circ\text{F}}$	$\frac{\text{Btu}}{\text{h-ft}^2\text{-}^\circ\text{F}}$	$\frac{^\circ\text{F-ft}^2\text{-h}}{\text{Btu-in}}$	$\frac{^\circ\text{F-ft}^2\text{-h}}{\text{Btu}}$	$\frac{\text{Btu}}{\text{Lb-}^\circ\text{F}}$
BUILDING BOARD						
Asbestos cement board.....	120	4.0	-	0.25	-	0.24
Asbestos cement board.....0.125 in	120	-	33.00	-	0.03	
Asbestos cement board..... 0.25 in	120	-	16.5	-	0.06	
Gypsum or plaster board.....0.375 in	50	-	3.1	-	0.32	0.26
Gypsum or plaster board..... 0.5 in	50	-	2.22	-	0.45	
Gypsum or plaster board....0.625 in	50	-	1.78	-	0.56	
Plywood (Douglas Fir).....	34	0.80	-	1.25	-	
Plywood (Douglas Fir).....0.25 in	34	-	3.2	-	0.30	0.29
Plywood (Douglas Fir).....0.375 in	34	-	2.13	-	0.47	
Plywood (Douglas Fir).....0.5 in	34	-	1.6	-	0.62	
Plywood (Douglas Fir).....0.625 in	34	-	1.29	-	0.77	
Plywood (Douglas Fir).....0.75 in	34	-	1.07	-	0.93	0.29
Vegetable fibre board						
Sheathing, regular density ^c0.5 in	18	-	0.76	-	1.32	0.31
.....0.78125 in	18	-	0.49	-	2.06	
Sheathing, intermediate density ^{c0}5 in	22	-	0.92	-	1.09	0.31
Nail-base sheathing ^c0.5 in	25	-	0.94	-	1.06	0.31
Shingle backer.....0.375 in	18	-	1.06	-	0.94	0.31

Shingle backer.....0.375 in	18	-	1.28	-	0.78	
Sound deadening board.....0.5 in	15	-	0.74	-	1.35	0.30
Tile and lay-in panels, plain or acoustic...	18	0.40	-	2.50	-	0.14
.....0.5 in	18	-	1.80	-	1.25	
.....0.75 in	18	-	0.53	-	1.89	
Laminated paperboard.....	30	0.50	-	2.00	-	0.33
Homogeneous board from r pulped paper.....	30	0.50	-	2.00	-	0.28
Hardboard						
Medium density.....	50	0.73	-	1.37	-	0.31
High density, service-tempered grade and service grade.....	55	0.82	-	1.22	-	0.32
High density, service-tempered grade.....	63	1.00	-	1.00	-	0.32
Particle board^c						
Low Density.....	37	0.71	-	1.41	-	0.31
Medium density.....	50	0.94	-	1.06	-	0.31
High density.....	62.5	1.18	-	0.85	-	0.31
Underlayment.....0.625 in	40	-	1.22	-	0.82	0.29
Waterboard.....	37	0.63	-	1.59	-	-
Wood subfloor.....0.75 in	-	-	1.06	-	0.94	0.33
BUILDING MEMBRANE						
Vapour – permeable felt	-	-	16.70	-	0.06	
Vapour – seal, 2 layers of mopped 15 lb felt	-	-	8.35	-	0.12	
Vapour – seal, plastic film	-	-	-	-	Negl.	
FINISH FLOORING MATERIALS	x	x	x	x	x	x
Carpet and fibrous pad	-	-	0.48	-	2.08	0.34
Carpet and rubber pad	-	-	0.81	-	1.23	0.33
Cork tile.....0.125 in	-	-	3.6	-	0.28	0.48
Terrazo..... 1 in	-	-	12.50	-	0.08	0.19
Tile – asphalt, linoleum, vinyl, rubber	-	-	20.00	-	0.05	0.30
Vinyl asbestos						0.24
Ceramic.....						0.19
Wood, hardwood finish.....0.75 in	-	-	1.47	-	0.68	
INSULATING MATERIALS						
<i>Blanket and Batt⁸</i>						
Mineral fibre, fibrous form						

processed from rock, slag or glass						
Approx. 3-4 in.....	0.4-2.0	-	0.091	-	11	
Approx. 3.5 in.....	0.4-2.0	-	0.077	-	13	
Approx. 3.5 in.....	1.2-1.6	-	0.067	-	15	
Approx. 5.5-6.5 in.....	0.4-2.0	-	0.053	-	19	
Approx. 5.5 in.....	0.6-1.0	-	0.048	-	21	
Approx. 6-7.5 in.....	0.4-2.0	-	0.045	-	22	
Approx. 8.25-10 in.....	0.4-2.0	-	0.033	-	30	
Approx. 10-13 in.....	0.4-2.0	-	0.026	-	38	
<i>Board and Slabs</i>						
Cellular Glass.....	8.0	0.33	-	3.03	-	0.18
Glass fibre, organic bonded.....	4.0-9.0	0.25	-	4.00	-	0.23
Expanded perlite, organic bonded...	1.0	0.36	-	2078	-	0.30
Expanded rubber (rigid)	4.5	0.22	-	4.55	-	0.40
Expanded polystyrene, extruded (smooth skin surface) (CFC-12 exp)	1.8-3.5	0.20	-	5.00	-	0.29
Expanded polystyrene, extruded (smooth skin surface) (HCFC-142b exp) ^b	1.8-3.5	0.20	-	5.00	-	0.29
Expanded polystyrene, molded beads	1.0	0.26	-	3.85	-	-
	1.25	0.25	-	4.00	-	-
	1.5	0.24	-	4.17	-	-
	1.75	0.24	-	4.17	-	-
	2.0	0.23	-	4.35	-	-
Cellular polyurethane/polyisocyanurate (CFC – 11 exp) (unfaced).....	1.5	0.16-0.18	-	6.25-5.56	-	
Cellular polyisocyanurate (CFC – 11 exp) (gas permeable facers)	1.5-2.5	0.16-0.18	-	6.25-5.56	-	0.38
Cellular polyisocyanurate (CFC – 11 exp) (gas impermeable facers).....	2.0	0.14	-	7.04	-	0.22
Cellular phenolic (closed cell) (CFC – 11, CFC -113 exp.).....	3.0	0.12	-	8.20	-	-
Cellular phenolic (opencell).....	1.8-2.2	0.23	-	4.40	-	-
Mineral fibre with resin binder.....	15.0	0.29	-	3.45	-	0.17
<i>Mineral fibreboard, wet felted</i>						
Core or roof insulation..	16-17	0.34	-	2.94	-	-

Acoustical tile ¹	18.0	0.35	-	2086	-	0.19
Acoustical tile ¹	21.0	0.37	-	2.70	-	-
Mineral fibreboard, wet molded						
Acoustical tile ¹	23.0	0.42	-	2.38	-	0.14
Wood or cane fibre board						
Acoustical tile ¹0.5 in	-	-	0.80	-	1.25	0.31
Acoustical tile ¹0.75 in	-	-	0.53	-	1.89	-
Interior finish (plank, tile)	15.0	0.35	-	2.86	-	0.32
Cement fibre slabs (shredded wood with Portland cementbinder)	25-27.0	0.50-0.53	-	2.0-1.89	-	-
Cement fibre slabs (shredded wood with magnesia oxysulfide binder)	22.0	0.57	-	1.75	-	0.31
<i>Loose fill</i>						
Cellulosic insulation (milled paper or wood pulp)	2.3-3.2	0.27-0.32	-	3.70-3.13	-	0.33
Perlite, expanded	2.0-4.1	0.27-0.31	-	3.7-3.3	-	0.26
	4.1-7.4	0.31-0.36	-	3.3-2.8	-	-
	7.4-11.0	0.36-0.42	-	2.8-2.4	-	-
Mineral fibre (rock, slag or glass) ^k						
Approx. 3.75 – 5 in.....	0.6-2.0	-	-	-	11.0	0.17
Approx. 6.5 – 8.75 in.....	0.6-2.0	-	-	-	19.0	-
Approx. 7.5 – 10 in.....	0.6-2.0	-	-	-	22.0	-
Approx. 10.25 – 13.75 in..	0.6-2.0	-	-	-	30.0	-
Mineral fibre (rock, slag or glass)						
Approx. 3.5 in (closed sidewall application)	2.0-3.5	-	-	-	12.0-14.0	-
Vermiculite, exfoliated.....	7.0-8.2	0.47	-	2.13	-	0.32
	4.0-6.0	0.44	-	2.27	-	-
<i>Spray applied</i>						
Polyurethane foam.....	1.5-2.5	0.16-0.18	-	6.25-5.56	-	-
Ureaformaldehyde foam.....	0.7-1.6	0.22-0.28	-	4.55-3.57	-	-
Cellulosic fibre.....	3.5-6.0	0.29-0.34	-	3.45-2.94	-	-
Glass fibre.....	3.5-4.5	0.26-0.27	-	3.85-3.70	-	-
METALS						
ROOFING						
Asbestos-cement shingles.....	120	-	4.76	-	0.21	0.24
Asphalt roll roofing.....	70	-	6.5	-	0.15	0.36
Asphalt shingles.....	70	-	2.27	-	0.44	0.30

Built-up roofing.....0.375 in	70	-	3.00	-	0.33	0.35
Slate.....0.5 in	-	-	20.00	-	0.05	0.30
Wood shingles, plain and plastic film faced.....	-	-	1.06	-	0.94	0.31
PLASTERING MATERIALS						
Cement plaster, sand aggregate.....	116	5.0	-	0.20	-	0.20
Sand aggregate.....0.375 in	-	-	13.3	-	0.08	0.20
Sand aggregate.....0.75 in	-	-	6.66	-	0.15	0.20
Gypsum plaster						
Lightweight aggregate.....0.5 in	45	-	3.12	-	0.32	-
Lightweight aggregate.....0.625 in	45	-	2.67	-	0.39	-
Lightweight aggregate on metal lath.....0.75 in	-	-	2.13	-	0.47	-
Perlite aggregate.....	45	1.5	-	0.67	-	0.32
Sand aggregate	105	5.6	-	0.18	-	0.20
Sand aggregate.....0.5 in	105	-	11.10	-	0.09	-
Sand aggregate.....0.625 in	105	-	9.10	-	0.11	-
Sand aggregate on metal lath 0.75 in	-	-	7.70	-	0.13	-
Vermiculite aggregate.....	45	1.7	-	0.59	-	-
MASONRY MATERIALS						
<i>Masonry units</i>						
Brick, fire clay.....	150	8.4-10.2	-	0.12-0.10	-	-
	140	7.4-9.0	-	0.14-0.11	-	-
	130	6.4-7.8	-	0.16-0.12	-	-
	120	5.6-6.8	-	0.18-0.15	-	0.19
	110	4.9-5.9	-	0.20-0.17	-	-
	100	4.2-5.1	-	0.24-0.20	-	-
	90	3.6-4.3	-	0.28-0.24	-	-
	80	3.0-3.7	-	0.33-0.27	-	-
	70	2.5-3.1	-	0.40-0.33	-	-
Clay tile, hollow						
1 cell deep.....3 in	-	-	1.25	-	0.80	0.21
1 cell deep.....4 in	-	-	0.90	-	1.11	-
2 cells deep.....6 in	-	-	0.66	-	1.52	-
2 cells deep.....8 in	-	-	0.54	-	1.85	-
2 cells deep.....10 in	-	-	0.45	-	2.22	-

3 cells deep.....12 in	-	-	0.40	-	2.50	-
Concrete blocks						
Limestone aggregate						
8 in, 36 lb, 138lb/ft ³ concrete, 2 cores.....	-	-	-	-	-	-
Same with perlite filled cores.....	-	-	0.48	-	2.1	-
12 in, 55 lb, 138lb/ft ³ concrete, 2 cores.....	-	-	-	-	-	-
Same with perlite filled cores.....	-	-	0.27	-	3.7	-
Normal weight aggregate (sand and gravel)						
8 in, 33-36 lb, 138lb/ft ³ concrete, 2 or 3 cores.....	-	-	0.90-1.03	-	1.11-0.97	0.22
Same with perlite filled cores....	-	-	0.50	-	2.0	-
Same with verm. filled cores.....	-	-	0.52-0.73	-	1.92-1.37	-
12 in, 50 lb, 125lb/ft ³ concrete, 2 cores.....	-	-	0.81	-	1.23	0.22
Medium weight aggregate (combination of normal and lightweight aggregate)						
8 in, 26-29 lb, 97-112lb/ft ³ concrete, 2 or 3 cores.....	-	-	0.58-0.78	-	1.71-1.28	-
Same with perlite filled cores.....	-	-	0.27-0.44	-	3.7-2.3	-
Same with verm. filled cores.....	-	-	0.30	-	3.3	-
Same with molded EPS (beads) filled cores.....	-	-	0.32	-	3.2	-
Same with molded EPS inserts in cores.....	-	-	0.37	-	2.7	-
Lightweight aggregate (expanded shale, clay, slate or slag, <i>pumice</i>)						
6in, 16-17 lb, 85-87 lb/ft ³ concrete, 2 or 3 cores.....	-	-	0.52-0.61	-	1.93-1.65	-
Same with perlite filled cores....	-	-	0.24	-	4.2	-
Same with verm. filled cores.....	-	-	0.33	-	3.0	-
8 in, 19-22 lb, 72-86 lb/ft ³ concrete, 2 or 3 cores.....	-	-	0.32-0.54	-	3.2-1.90	0.21
Same with perlite filled cores.....	-	-	0.15-0.23	-	6.8-4.4	-
Same with verm. filled cores.....	-	-	0.19-0.26	-	5.3-3.9	-
Same with molded EPS (beads) filled cores.....	-	-	0.21	-	4.8	-
Same with UF foam filled cores....	-	-	0.22	-	4.5	-
Same with molded EPS inserts in cores.....	-	-	0.29	-	3.5	-
12 in, 32-36 lb, 80-90 lb/ft ³ concrete,	-	-	0.38-0.44	-	2.6-2.3	-

2 or 3cores.....						
Same with perlite filled cores.....	-	-	0.11-0.16	-	9.2-6.3	-
Same with verm. filled cores.....	-	-	0.17	-	5.8	-
Stone, lime or sand.....						
Quartzite and sandstone.....	180	72	-	0.01	-	-
	160	43	-	0.02	-	-
	140	24	-	0.04	-	-
	120	13	-	0.08	-	0.19
Calctitic, dolomitic, limestone, marble and granite	180	30	-	0.03	-	-
	160	22	-	0.05	-	-
	140	16	-	0.06	-	-
	120	11	-	0.09	-	0.19
	100	8	-	0.13	-	-
Gypsum partition tile						
3 by 12 by 30 in.....solid	-	-	0.79	-	1.26	0.19
3 by 12 by 30 in.....4 cells	-	-	0.74	-	1.35	-
4 by 12 by 30 in.....3 cells	-	-	0.60	-	1.67	-
Concretes						
Sand and gravel or stone aggregate concretes (concretes with more 50% quartz or quartzite sand have conductivities in the higher end of the range)	150	10.0-20.0	-	0.10-0.05	-	-
	140	9.0-18.0	-	0.11-0.06	-	0.19-0.24
	130	7.0-13.0	-	0.14-0.08	-	-
Limestone concretes.....	140	11.1	-	0.09	-	-
	120	7.9	-	0.13	-	-
	100	5.5	-	0.18	-	-
Gypsum -fibre concrete (87.5% gypsum, 12.5% wood chips)	51	1.66	-	0.60	-	0.21
Cement/lime, mortar and stucco.....	120	9.7	-	0.10	-	-
	100	6.7	-	0.15	-	-
Lightweight aggregate concretes	80	4.5	-	0.22	-	-
Expanded shale, clay or slate; expanded slags;	120	6.4-9.1	-	0.16-0.11	-	-
Cinders; pumice (with density upto 100lb/ft ³);	100	4.7-6.2	-	0.21-0.16	-	0.20

Scoria (sanded concretes have conductivities in the higher end of the range).....	80	3.3-4.1	-	0.30-0.24	-	0.20
	60	2.1-2.5	-	0.48-0.40	-	-
	40	1.3	-	0.78	-	-
Perlite, vermiculite and polystyrene bends.....	50	1.8-1.9	-	0.55-0.53	-	-
	40	1.4-1.5	-	0.71-0.67	-	0.15-0.23
	30	1.1	-	0.91	-	-
	20	0.8	-	1.25	-	-
Foam concretes.....	120	5.4	-	0.19	-	-
	100	4.1	-	0.24	-	-
	80	3.0	-	0.33	-	-
	70	2.5	-	0.40	-	-
Foam concretes and cellular concretes.....	60	2.1	-	0.48	-	-
	40	1.4	-	0.71	-	-
	20	0.8	-	1.25	-	-
SIDING MATERIALS (on flat surface)						
<i>Shingles</i>						
Asbestos-cement.....	120	-	4.75	-	0.21	-
Wood, 16 in., 7.5 exposure.....	-	-	1.15	-	0.87	0.31
Wood, double, 16 in., 12-in exposure	-	-	0.84	-	1.19	0.28
Wood, plus insul. Backer board, 0.3125 in.....	-	-	0.71	-	1.40	0.31
<i>Siding</i>						
Asbestos-cement, 0.25 in ,lapped....	-	-	4.76	-	0.21	0.24
Asphalt roll siding.....	-	-	6.5	-	0.15	0.35
Asphalt insulating siding (0.5 in. bed.).....	-	-	0.69	-	1.46	0.35
Hardboard siding, 0.4375 in.....	-	-	1.49	-	0.67	0.28
Wood, drop, 1 by 8 in.	-	-	1.27	-	0.79	0.28
Wood, bevel, 0.5 by 8 in. , lapped...	-	-	1.23	-	0.81	0.28
Wood, bevel, 0.75 by 10 in., lapped	-	-	0.95	-	1.05	0.28
Wood, plywood, 0.375 in., lapped...	-	-	1.59	-	0.59	0.29
Aluminium or steel, over sheathing						
Hollow backed.....	-	-	1.61	-	0.61	0.29
Insulating -board backed nominal 0.375 in.....	-	-	0.55	-	1.82	0.32

Insulating –board backed nominal 0.375 in, foil backed.....	-	-	0.34	-	2.96	-
Architectural (soda-lime float) glass	158	6.9	-	-	-	0.21
WOODS (12% moisture content)⁰						
<i>Hardwoods</i>						0.39 ⁰
Oak.....	41.2-46.8	1.12-1.25	-	0.89-0.80	-	
Birch.....	42.6-45.4	1.16-1.22	-	0.87-0.82	-	
Maple.....	39.8-44.0	1.09-1.19	-	0.92-0.84	-	
Ash.....	38.4-41.9	1.06-1.14	-	0.94-0.88	-	
<i>Softwoods</i>						0.39 ⁰
Southern pine.....	35.6-41.2	1.00-1.12	-	1.00-0.89	-	
Douglas Fir-Larch.....	33.5-36.3	0.95-1.01	-	1.06-0.99	-	
Southern Cypress.....	31.4-32.1	0.90-0.92	-	1.11-1.09	-	
Hem-Fir, Spruce Pine-Fir.....	24.5-31.4	0.74-0.90	-	1.35-1.11	-	
West Coast Woods, Cedars.....	21.7-31.4	0.68-0.90	-	1.48-1.11	-	
California Redwood.....	24.5-28.0	0.74-0.82	-	1.35-1.22	-	

^aValues are for a mean temperature of 75⁰F. Representative values for dry materials are intended as design (not specification) values for materials in normal use. Thermal values of insulating materials may differ from design values depending on their in-situ properties(e.g. density and moisture content, orientation etc.) and variability experienced during manufacture. For properties of a particular product, use the value supplied by the manufacturer or by unbiased tests.

^bTo obtain thermal conductivities in Btu/h.ft. ⁰F, divide the k-factor by 12 in/ft.

^cResistance values are the reciprocals of C before rounding off C to two decimal places.

^dLewis (1967)

^eU. S. Department of Agriculture (1974)

^fDoes not including paper backing and facing, if any. Where insulation forms a boundary (reflective or otherwise) of an airspace, see tables 2 and 3 for the insulating value of an airspace with the appropriate effective emittance and temperature conditions of the space.

^gConductivity varies with fibre diameter (See Chapter 20, Factors Affecting Thermal Performance). Batt, blanket and loose-fill mineral fibre insulations are manufactured to achieve specified R-values, the most common of which are listed in table. Due to differences in manufacturing processes and materials, the product thicknesses, densities and thermal conductivities vary over considerable ranges for a specified R-value.

^hThis material is relatively new and data are based on limited testing.

¹Values for fully grouted block may be approximated using values for concrete with a similar unit weight.

^mValues for metal siding applied over flat surfaces vary widely, depending on amount of ventilation of airspace beneath the siding; whether airspace is reflective or nonreflective; and on thickness, type and application of insulating backing board used. Values given are averages for use as deign guides, and were obtained from several guarded hot box tests (ASTM C236) or calibrated hot box (ASTM C976) on hollow backed types and types made using backing-boards of wood fibre, foamed plastic and glass fibre. Departures of ±50% or more from the values given may occur.

ⁿSee Adams (1971), MacLean(1941) and Wilkes (1979). The conductivity values listed are for heat transfer across the grain. The thermal conductivity of wood varies linearly with the density and the density ranges listed are those normally found for the wood species given. If the density of the wood species are not known, use the mean conductivity value. For extrapolation to other moisture contents, the following empirical equation developed by Wilkes (1979) may be used:

$$k = 0.1791 + \frac{(1.874 \times 10^{-2} + 5.753 \times 10^{-4}M)\rho}{1 + 0.01M}$$

Where ρ is the density of the moist wood in lb/ft³, and M is the moisture content in percent.

<p>ⁱFor additional information, see Society of Plastic Engineers (SPI) <i>bulletin</i> U108. Values are for aged, unfaced beard stock. For change in conductivity with age of expanded polyurethane/polyisocyanurate, see Chapter 20. Factors affecting Thermal Performance.</p> <p>^jValues are for aged products with gas -impermeable facers on the two major surfaces. An aluminium foil facer of 0.001 in. thickness or greater is generally considered impermeable to gases. For change in conductivity with age of expanded polyisocyanurate, see Chapter 20, Factors affecting Thermal Performance, and SPI <i>Bulletin</i> U108.</p> <p>^kInsulating values of acoustical tile vary, depending on density of the board and on type, size and depth of perforations</p>	<p>^oFrom Wilkies (1979), an empirical equation for the specific heat of moist wood at 75°F is as follows</p> $c_{\rho} = \frac{(0.299 + 0.01M)}{(1 + 0.01M)} + \Delta c_{\rho}$ <p>Where Δc_{ρ} accounts for the heat of absorption and is denoted by</p> $\Delta c_{\rho} = M (1.921 \times 10^{-3} - 3.168 \times 10^{-3} M)$ <p>Where M is the moisture content in percent by mass.</p>
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13. Appendix- D-Building Envelope Tradeoff Method

13.1 Equation 13-1

The envelope performance factor shall be calculated using the following equations.

$$EPF_{Total} = EPF_{Roof} + EPF_{Wall} + EPF_{Fenest}$$

Where

$$EPF_{Roof} = c_{Roof} \sum_{S=1}^n U_S A_S$$

$$EPF_{Wall} = c_{Wall, Mass} \sum_{S=1}^n U_S A_S + c_{Wall, Other} \sum_{S=1}^n U_S A_S$$

$$EPF_{Fenest} = c_{1 Fenest, North} \sum_{w=1}^n SHGC_w M_w A_w + c_{2 Fenest, North} \sum_{w=1}^n U_w A_w + c_{2 Fenest, Non North} \sum_{w=1}^n SHGC_w M_w A_w + c_{2 Fenest, Non North} \sum_{w=1}^n U_w A_w + c_{1 Fenest, Skvlieht} \sum_{w=1}^n SHGC_s A_s + c_{2 Fenest, Skvlieht} \sum_{w=1}^n U_s A_s$$

Where,

- EPF_{Roof} Envelope performance factor for roofs. Other subscripts include walls and fenestration.
- A_s, A_w The area of a specific envelope component referenced by the subscript “s” or for windows the subscript “w”.
- SHGC_w The solar heat gain coefficient for windows (w). SHGC_s refers to skylights
- M_w A multiplier for the window SHGC that depends on the projection factor of an overhang or side fin.
- U_s The U-Factor for the envelope component referenced by the subscript “s”.
- C_{Roof} A coefficient for the “Roof” class of construction. Values of “c” are taken from Table 13-1 through Table 13-5 for each class of construction.

Table 13.1 – Envelope Performance Factor Coefficients-Composite climate

	Daytime Occupancy		24-Hour Occupancy	
	U-Factor	SHGC	U-Factor	SHGC
Mass Walls	6.01	-	13.85	-
Curtain Walls, Other	15.72	-	20.48	-
Roof	11.93	-	24.67	-
North Windows	-1.75	40.65	-4.56	58.15
Non North Windows	-1.25	54.51	0.68	86.57
Skylights	-96.35	311.71	-294.66	918.77

Table 13.2 – Envelope Performance Factor Coefficients-Hot Dry climate

	Daytime Occupancy		24-Hour Occupancy	
	U-Factor	SHGC	U-Factor	SHGC
Mass Walls	5.48	-	15.01	-
Curtain Walls, Other	6.38	-	22.06	-
Roof	11.14	-	25.98	-
North Windows	-2.40	36.57	-1.49	56.09
Non North Windows	-1.86	46.79	1.187	81.79
Skylights	-96.27	309.33	-295.81	923.01

Table 13.3 – Envelope Performance Factor Coefficients-Hot Humid climate

	Daytime Occupancy		24-Hour Occupancy	
	U-Factor	SHGC	U-Factor	SHGC
Mass Walls	6.42	-	9.60	-
Curtain Walls, Other	14.77	-	19.71	-
Roof	9.86	-	14.11	-
North Windows	-1.58	34.95	-7.29	64.19
Non North Windows	-1.00	43.09	-6.48	76.83
Skylights	-96.11	305.45	-295.45	893.55

Table 13.4– Envelope Performance Factor Coefficients-Moderate climate				
	Daytime Occupancy		24-Hour Occupancy	
	U-Factor	SHGC	U-Factor	SHGC
Mass Walls	2.017	-	3.11	-
Curtain Walls, Other	2.72	-	4.11	-
Roof	5.46	-	5.86	-
North Windows	-3.10	29.66	-11.95	62.14
Non North Windows	-2.98	34.86	-11.62	68.45
Skylights	-96.21	298.82	-294.12	876.70

Table 13.5– Envelope Performance Factor Coefficients-Cold climate				
	Daytime Occupancy		24-Hour Occupancy	
	U-Factor	SHGC	U-Factor	SHGC
Mass Walls	5.19	-	5.19	-
Curtain Walls, Other	6.76	-	6.76	-
Roof	5.69	-	5.67	-
North Windows	1.55	9.13	1.55	9.13
Non North Windows	-1.13	16.32	-1.13	16.32
Skylights	-93.44	283.18	-93.44	283.18

13.1.2 Overhang and Side Fin Coefficients

The “M” multiplication factor can also be calculated using Equation 13-2. If the equation is used, a separate calculation shall be made for each orientation and unique shading condition.

$$\text{Equation 13-2 : } M = A \cdot PF^2 + b \cdot PF + 1$$

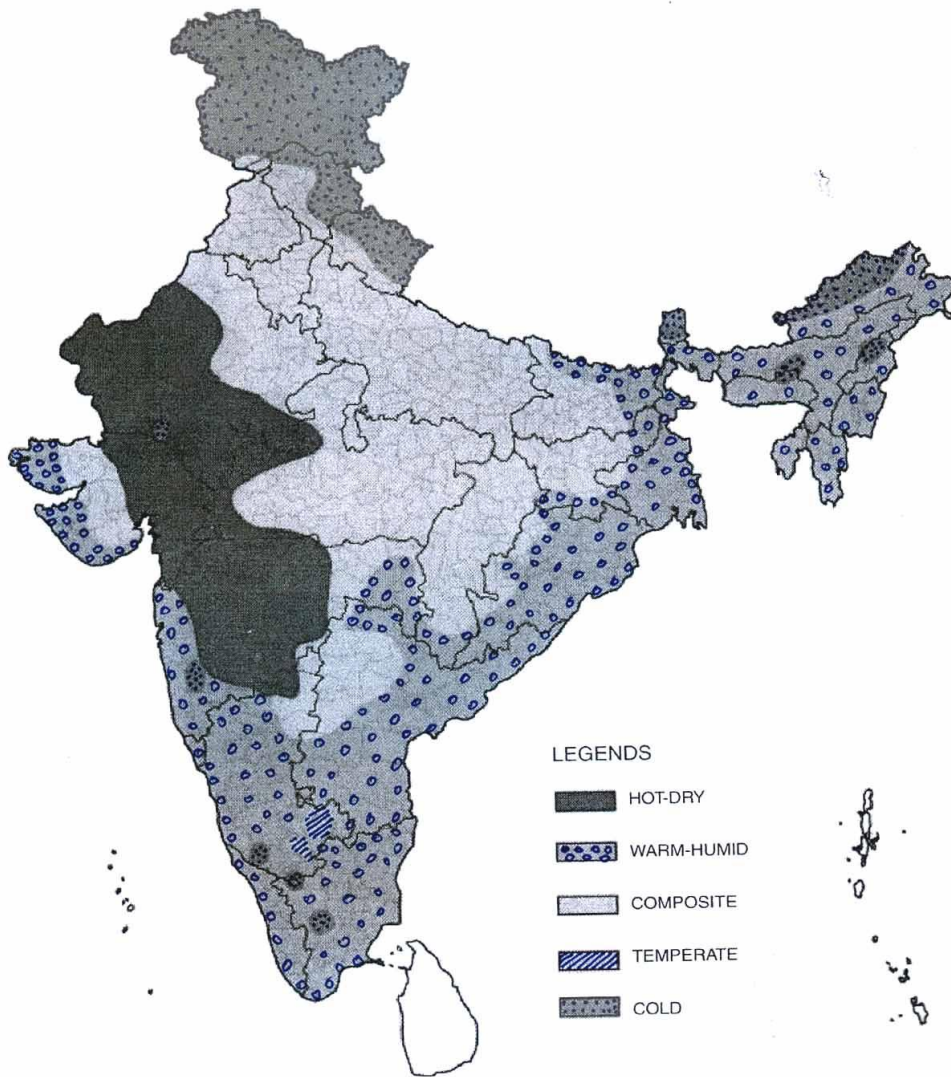
Table 13.6 - Overhang and Side Fin Coefficients				
Device	Coefficient	North	South	East/West
Overhangs	A	0.16	0.21	0.10
	B	-0.61	-0.83	-0.58
Side Fins	A	0.23	0.12	0.14
	B	-0.74	-0.59	-0.52

13.1.3 Baseline Building Definition

The following rules shall be used to define the budget building.

- (a) The budget building shall have the same building floor area, gross wall area and gross roof area as the proposed design. If the building has both 24-hour and daytime occupancies, the distribution between these shall be the same as the propose design.
- (b) The U-factor of each envelope component shall be equal to the criteria from § 4.3 for each class of construction.
- (c) The vertical fenestration area shall be equal to the proposed design or 40% of the gross exterior wall area, whichever is less. The skylight area shall be equal to the proposed deign or 5% of the gross exterior roof area, whichever is less.
- (d) The SHGC of each window or skylight component shall be equal to the criteria from § 4.3.

14. Appendix E – Climate Zone Map Of India



Source: National Building Code 2005, Part 8, Fig. 2

15. Appendix F – Air-side Economizer Acceptance Procedures

15.1 Construction Inspection

- Prior to Performance Testing, verify and document the following:
- System controls are wired correctly to ensure economizer is fully integrated (i.e. economizer will operate when mechanical cooling is enabled)
- Economizer lockout control sensor location is adequate (open to air but not exposed to direct sunlight nor in an enclosure; away from sources of building exhaust; at least 8m [25ft] away from cooling towers)
- System is provided with barometric relief, relief fan or return fan to control building pressure.

15.2 Equipment Testing

Step 1: Simulate a cooling load and enable the economizer by adjusting the lockout control set point. Verify and document the following :

- Economizer damper modulates opens to 100% outside air.
- Return air damper modulates closed and is completely closed when economizer damper is 100% open.
- Economizer damper is 100% open before mechanical cooling is enabled.
- Relief fan or Return Fan (if applicable) is operating or barometric relief dampers freely swing open.

Step 2: Continue from Step 1 and disable the economizer by adjusting the lockout control set point. Verify and document the following :

- Economizer damper closes to minimum ventilator position.
- Return air damper opens to at or near 100%.
- Relief fan (if applicable) shuts off or barometric relief dampers close. Return fan (if applicable) may still operate even when economizer is disabled.

16. Appendix G – Compliance Forms

16.1 Envelope Summary

Envelope Summary		ENVELOPE Summary	
2005 Indian Energy Conservation building Code Compliance Forms		Draft 1, 27 March, 2005	
Project Info	Project Address		Date
	Applicant Name:		For Building Department Use
	Applicant Address :		
	Applicant Phone :		

Project Description	<input type="checkbox"/> New Building <input type="checkbox"/> Addition <input type="checkbox"/> Alteration <input type="checkbox"/> Change of use
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Completion Option	<input type="checkbox"/> Prescriptive <input type="checkbox"/> Envelope Trade-Off (Appendix D) <input type="checkbox"/> System Analysis
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	<input type="radio"/> Hospital, hotel, call center (24 hour) <input type="radio"/> Other building types (daytime)										
Vertical Fenestration Area Calculation	<table border="0"> <tr> <td>Total Vertical fenestration Area (rough opening)</td> <td>Divide by</td> <td>Gross Exterior Wall Area</td> <td>Times equals</td> <td>100% Vertical fenestration</td> </tr> <tr> <td></td> <td>÷</td> <td></td> <td>x 100 =</td> <td></td> </tr> </table>	Total Vertical fenestration Area (rough opening)	Divide by	Gross Exterior Wall Area	Times equals	100% Vertical fenestration		÷		x 100 =	
Total Vertical fenestration Area (rough opening)	Divide by	Gross Exterior Wall Area	Times equals	100% Vertical fenestration							
	÷		x 100 =								
Note : Vertical fenestration area can not exceed 40% of the area for prescriptive option											
Skylight Area Calculation	<table border="0"> <tr> <td>Total Vertical fenestration Area (rough opening)</td> <td>Divide by</td> <td>Gross Exterior Wall Area</td> <td>Times equals</td> <td>100% Vertical fenestration</td> </tr> <tr> <td></td> <td>÷</td> <td></td> <td>x 100 =</td> <td></td> </tr> </table>	Total Vertical fenestration Area (rough opening)	Divide by	Gross Exterior Wall Area	Times equals	100% Vertical fenestration		÷		x 100 =	
Total Vertical fenestration Area (rough opening)	Divide by	Gross Exterior Wall Area	Times equals	100% Vertical fenestration							
	÷		x 100 =								
Note : Skylight area can not exceed 5% of the gross roof area for prescriptive compliance.											

Hospital, hotel, call center (24 hour)	
OPAQUE ASSEMBLY	
Roof m insulation R-value	
Wall m insulation R-value	
FENESTRATION	
Vertical	
Maximum U-factor	
Maximum SHGC (for SC)	
Overhang (yes or no)	
If yes, enter Projection Factor	
Side fins (yes or no)	
If yes, enter Projection Factor	
Skylight	
Maximum U-factor	
Maximum SHGC (for SC)	

Other building types (daytime)	
OPAQUE ASSEMBLY	
Roof m insulation R-value	
Wall m insulation R-value	
FENESTRATION	
Vertical	
Maximum U-factor	
Maximum SHGC (for SC)	
Overhang (yes or no)	
If yes, enter Projection Factor	
Side fins (yes or no)	
If yes, enter Projection Factor	
Skylight	
Maximum U-factor	
Maximum SHGC (for SC)	

16.4 Mechanical Checklist**Mechanical Permit Checklist****MECHANICAL CHECKLIST**

2005 Indian Energy Conservation building Code Compliance Forms

Draft 1, 27 March, 2005

Project Address				Date	
The following information is necessary to check a building permit application for compliance with the building envelope requirements in the 2005 Indian Energy Conservation Building Code.					
Applicability (yes, no, n.a.)	Code Section	Component	Information required	Location on Plans	Building Department Notes
HEATING, VENTILATING, AND AIR CONDITIONING (Chapter 5)					
MANDATORY PROVISIONS (Section 5.2)					
	5.2.1	Equipment efficiency	Providing equipment schedule with type, capacity, efficiency		
	5.2.2	Controls			
	5.2.2.1	Time clocks	Indicate thermostat with night setback, 3 different day types and 2-hour manual override		
	5.2.2.2	Temp. & dead band	Indicate temperature control with 3 degree C dead band minimum		
	5.2.2.3	Clg. Tower, fluid cooler	Indicate two-speed motor, pony motor or variable speed drive to control the fans		
	5.2.3	Piping & ductwork	Indicate sealing, caulking, gasketing and weather stripping		
	5.2.3.1	Piping insulation	Indicating R-value of insulation		
	5.2.3.2	Ductwork insulation	Indicating R-value of insulation		
	5.2.3.3	Ductwork sealing	Specify sealing type and locations		
	5.2.4	System balancing	Specify system balancing		
PRESCRIPTIVE COMPLIANCE OPTION (Section 5.3)					
	5.3		Indicate whether project is complying with ECBC Prescriptive Option OR with ASHRAE Standard 90.1-2004		
	5.3.1	Economizer			
	5.3.3.1	Air Economizer	Indicate 100% capability on schedule		
	5.3.3.2	Integrated operation	Indicate capability for partial cooling		
	5.3.3.3	Field testing	Specify tests		
	5.3.2	Variable flow hydronic			
	5.3.3.1	Pump flow rates	Indicate variable flow capacity on schedule		
	5.3.3.2	Isolation Valves	Indicate two way automatic Isolation Valves		
	5.3.3.3	Variable speed drive	Indicate Variable speed drive		
SERVICE WATER HEATING AND PUMPING (Chapter 6)					
MANDATORY PROVISIONS (Section 6.2)					
	6.2.1	Solar water heating	Provide calculation to justify capacity to meet 20% threshold		
	6.2.2	Equipment efficiency	Providing equipment schedule with type, capacity, efficiency		
	6.2.3	Piping insulation	Indicating R-value of insulation		
	6.2.4	Heat traps	Indicate heat trap on drawings or provide manufacturers specifications to show that equipment has internal heat trap		
	6.2.5	Pool covers	Provide vapor retardant cover for pools		
	6.2.5	Pools over 32 C	Provide R-2.1 insulation		

16.5 Lighting Summary**Lighting Summary**

2005 Indian Energy Conservation building Code Compliance Forms

LIGHTING Summary

Draft 1, 27 March, 2005

Project Info	Project Address	Date
	Applicant Name:	For Building Department Use
	Applicant Address :	
Applicant Phone :		

Project Description	<input type="checkbox"/> New Building	<input type="checkbox"/> Addition	<input type="checkbox"/> Alteration	<input type="checkbox"/> Change of use
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Completion Option	<input type="checkbox"/> Prescriptive	<input type="checkbox"/> System Analysis
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Alternative Exceptions (check box, if appropriate)	<input type="checkbox"/> Less than 50% of the fixtures are new and installed lighting wattage is not being increased

Maximum Allowed Lighting Wattage (Interior, Section 7.3)

Location (floor/room no.)	Occupancy Description	Allowed Watts per m2**	Area in m2	Allowed x Area
				0.0
				0.0
				0.0
** Document all exceptions			Total allowed Watts	0.0

Proposed Lighting Wattage (Interior)

Location (floor/room no.)	Fixture description	Number of Fixture	Watts/ fixture	Watts Proposed
				0.0
				0.0
				0.0
Total proposed watts may not exceed total allowed watts for Interior				Total proposed Watts
				0.0

Maximum Allowed Lighting Wattage (Exterior, Section 7.4)

Location	Description	Allowed Watts per m2 or per lm	Area in m2 (or lm for perimeter)	Allowed Watts x m2 (or x lm)
				0.0
				0.0
				0.0
				0.0
				0.0
Total allowed Watts				0.0

Proposed Lighting Wattage (Exterior)

Location	Fixture description	Number of Fixture	Watts/ fixture	Watts Proposed
				0.0
				0.0
				0.0
Total proposed watts may not exceed total allowed watts for Exterior				Total proposed Watts
				0.0

16.6 Lighting Permit Checklist**Lighting Permit Checklist****LIGHTING Checklist**

2005 Indian Energy Conservation building Code Compliance Forms

Draft 1, 27 March, 2005

Project Address					Date	
The following information is necessary to check a building permit application for compliance with the building envelope requirements in the 2005 Indian Energy Conservation building Code.						
Applicability (yes, no, n.a.)	Code Section	Component	Information required	Location on Plans	Building Department Notes	
LIGHTING (Chapter 7)						
MANDATORY PROVISIONS (Section 7.2)						
	7.2.1	Controls				
	7.2.1.1	Automatic Shutoff	Indicate automatic shutoff locations or occupancy sensors			
	7.2.1.2	Space Control	Provide schedule with type, indicate locations			
	7.2.1.3	Daylight zones	Provide schedule with type and features , indicate locations			
	7.2.1.4	Ext. lighting control	Indicate photo sensor or astronomical time switch			
	7.2.1.5	Additional control	Provide schedule with type, indicate locations			
	7.2.2	Tandem wiring	Show wiring on schedule			
	7.2.3	Exit signs	Indicate 5 watts maximum			
	7.2.4	Ext. bldg. grounds lgt	Indicate minimum efficiency of 60 lumens/Watt			
PRESCRIPTIVE INTERIOR LIGHTING POWER COMPLIANCE OPTION (Section 7.3)						
	7.3		Indicate whether project is complying with Building Area Method (7.3.1) or the Space Function Method (7.3.2)			
	7.3.1	Building Area method	Provide lighting schedule with wattage of lamp and ballast and number of fixtures. Document all exceptions.			
	7.3.2	Space function method	Provide lighting schedule with wattage of lamp and ballast and number of fixtures. Document all exceptions.			
	7.3.3	Luminaire Wattage	Indicate on plans			
PRESCRIPTIVE EXTERIOR LIGHTING POWER COMPLIANCE OPTION (Section 7.4)						
	7.4	Building Area method	Provide lighting schedule with wattage of lamp and ballast and number of fixtures. Document all exceptions.			
ELECTRICAL POWER (Chapter 8)						
MANDATORY PROVISIONS (Section 8.2)						
	8.2.1	Transformers	Provide schedule with transformer losses			
	8.2.2	Motor efficiency	Providing equipment schedule with motor capacity, efficiency			
	8.2.3	Power Factor correction	Provide schedule with power factor correction			
	8.2.4	Check metering	Provide check metering and monitoring			

17. Appendix - H Equipments, Materials and Minimum Star Labeling (*)

<u>Equipment</u>	<u>Details</u>	<u>Minimum Star Labeling</u>
Air Conditioning	Single-phase split and unitary air conditioners of vapour compression type up to a rated cooling capacity of 11kW or as amended from time to time	Minimum 3 star
Ceiling Fans	All ceiling fans of sweep 1200mm or as amended from time to time shall be BEE star labeled	Minimum 3 star
Electric Water Heaters	Electric water heaters of capacity up to 200 liters or as amended from time to time	Minimum 3 star
Distribution Transformers	Distribution Transformers of rating 16kVA to 200kVA or as amended from time to time.	Minimum 3 star
Squirrel Cage Induction Motors	Three-phase Squirrel Cage Induction Motors in 2 Pole and 4 Pole for continuous duty (S1) operation suitable for voltage and frequency variation as per IS 12615:2004 with the following output rating 0.75kW, 1.1kW, 1.5kW, 2.2kW, 3.7kW, 5.5kW, 7.5kW, 9.3kW, 11kW and 15kW or as amended from time to time.	Minimum 3 star
Electric Pump Sets	Pump sets covering Electric mono set pumps, submersible pump sets and open well submersible pump sets used for clear, cold water and water supply purpose of ratings 1.1kW to 15kW or as amended from time to time.	Minimum 3 star

(*) The list of equipments and materials shall be governed by appropriate notifications under the star labeling or appropriate clause of EC Act 2001 by BEE and as amended from time to time.

18. Appendix I – BEE Star Rating for Building**18.1 : Building Information and Energy Data****Table 1: Building Information and Energy Data**

Name of the building:

City :

Primary Data		Year
No.	Item	Value
1	Connected Load (kw) or contract demand	
2	Installed capacity: DG/GG Sets (KVA or KW)	
3	(a) Annual Electricity consumption, through Diesel from Utilities (kWh)	
	(b) Annual Electricity consumption, through Diesel Generating (DG)/Gas Generating Set(s) (kWh)	
	(c) Total Annual Electricity consumption, Utilities + DG/GG Sets	
4	(a) Annual cost of Electricity, purchased from Utilities (Rs.)	
	(b) Annual cost of Electricity generated through DG/GG Sets (Rs.)	
	(c) Total Annual Electricity cost, Utilities + DG/GG Sets (Rs.)	
5	Area of the Building (exclude parking, lawn, roads etc.)	(a) Built up area (sqm) (Excluding Basement Area)
		Conditioned Area (in sqm)
		Conditioned Area (as % of built up area)
6	Working hours (e.g. day working/24 hour working)	
7	Working days/week (e.g. 5/6/7 days per week)	
8	(a) Office	Total no. of Employees
		Average no. of persons at any time in office during office hours
9	Installed capacity of Air Conditioning System (TR)	
10	Installed lighting load (kW) (if available)	
11	HSD (or any other fuel oil used, specify)/Gas Consumption in DG/GG Sets (Liters/cu. Meters) in the year	
12	Fuel (e.g. FO, LDO, LPF, NG) used for generating steam/water heating in the year (in appropriate units)	
13	EPI (Energy Performance Index) in kWh/sqm/year Energy includes electricity purchased and generated (excluding electricity generated from on-site renewable resources)	
14	Star Label applicable	

I hereby declare that the building is fully occupied for the last one year and all the above furnished information is true in all respect.

Signature of the building owner or authorized representative

18.2 Annexure II : Contact Details**Table II: Contact Details of the organization and the Contact person**

No.		Details
	Organization	
(a)	Name of the Organization	
(b)	Postal Address	
(c)	Phone No.	
	Contact Person	
(a)	Name and Designation	
(b)	E-mail address	
(c)	Phone Nos.	
(a)	Name of the Architect	
(b)	Postal Address	
(c)	Phone No.	
(a)	Name of the contractor	
(b)	Postal Address	
(c)	Phone No.	

18.3. Annexure-III: EPI and Star Label for Buildings*.

Table for building Energy Star Rating Programme More than 50% air conditioned built up area	
Climatic Zone Composite	
EPI (Kwh/sqm/year)	Star Label
190-165	1 Star
165-140	2 Star
140-115	3 Star
115-90	4 Star
Below 90	5 Star
Climatic Zone - Warm and Humid	
EPI (Kwh/sqm/year)	Star Label
200-175	1 Star
175-150	2 Star
150-125	3 Star
125-100	4 Star
Below 100	5 Star
Climatic Zone – Hot and Dry	
EPI (Kwh/sqm/year)	Star Label
180-155	1 Star
155-130	2 Star
130-105	3 Star
105-80	4 Star
Below 80	5 Star

Table for building Energy Star Rating Programme Less than 50% air conditioned built up area	
Climatic Zone - Composite	
EPI (Kwh/sqm/year)	Star Label
80-70	1 Star
70-60	2 Star
60-50	3 Star
50-40	4 Star
Below 40	5 Star
Climatic Zone – Warm and Humid	
EPI (Kwh/sqm/year)	Star Label
85-75	1 Star
75-65	2 Star
65-55	3 Star
55-45	4 Star
Below 45	5 Star
Climatic Zone – Hot and Dry	
EPI (Kwh/sqm/year)	Star Label
75-65	1 Star
65-55	2 Star
55-45	3 Star
45-35	4 Star
Below 35	5 Star

(* EPI values and star labels as prescribed for the energy labeling program of buildings or as amended from time to time by the BEE.

By order of the Governor,

S. KISHORE

Principal Secretary to the Govt. of West Bengal

